



Trust Audit

Civitas Analytica — Engineered truth.

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pack_type	trust_audit
library	soc_2
client	acme
engagement	eng42

Executive Summary

SEVERITY-WEIGHTED SCORE	0.0%
TOTAL CONTROLS	100
MET	0
PARTIAL	0
GAP	100

Key Gaps

- C1.1** C1.1 Confidentiality Commitments readiness - **GAP** - severity 5 - missing evidence 3
- C1.3** C1.3 Confidentiality Commitments readiness - **GAP** - severity 5 - missing evidence 3
- C1.5** C1.5 Confidentiality Commitments readiness - **GAP** - severity 5 - missing evidence 3
- C2.1** C2.1 Confidential Data Lifecycle readiness - **GAP** - severity 5 - missing evidence 3
- C2.3** C2.3 Confidential Data Lifecycle readiness - **GAP** - severity 5 - missing evidence 3
- C2.5** C2.5 Confidential Data Lifecycle readiness - **GAP** - severity 5 - missing evidence 3
- CC1.2** CC1.2 Control Environment readiness - **GAP** - severity 5 - missing evidence 3

- **CC1.4** CC1.4 Control Environment readiness - **GAP** - severity 5 - missing evidence 3
- **CC2.2** CC2.2 Communication and Information readiness - **GAP** - severity 5 - missing evidence 3
- **CC2.4** CC2.4 Communication and Information readiness - **GAP** - severity 5 - missing evidence 3
- **CC3.2** CC3.2 Risk Assessment readiness - **GAP** - severity 5 - missing evidence 3
- **CC3.4** CC3.4 Risk Assessment readiness - **GAP** - severity 5 - missing evidence 3

Full Controls Table

CONTROL_ID	TITLE	OBJECTIVE	EVIDENCE EXPECTATIONS	STATUS	SEVERITY	EVIDENCE_COUNT
CC1.1	CC1.1 Control Environment readiness	Demonstrate that control environment is defined, operated, and reviewable with reproducible local evidence.	Written policy or procedure showing ownership, approval, and review cadence for control environment.; Operational records (logs, tickets, reports, or dashboards) demonstrating the control is performed consistently.; Evidence of exception handling and remediation tracking when control failures or gaps are identified.	GAP	4	0
CC1.2	CC1.2 Control Environment readiness	Demonstrate that control environment is defined, operated, and reviewable with reproducible local evidence.	Written policy or procedure showing ownership, approval, and review cadence for control environment.; Operational records (logs, tickets, reports, or dashboards) demonstrating the control is performed consistently.; Evidence of exception handling and remediation tracking when control failures or gaps are identified.	GAP	5	0
CC1.3	CC1.3 Control Environment	Demonstrate that control	Written policy or procedure showing	GAP	4	0



CONTROL_ID	TITLE	OBJECTIVE	EVIDENCE EXPECTATIONS	STATUS	SEVERITY	EVIDENCE_COUNT
	readiness	environment is defined, operated, and reviewable with reproducible local evidence.	ownership, approval, and review cadence for control environment.; Operational records (logs, tickets, reports, or dashboards) demonstrating the control is performed consistently.; Evidence of exception handling and remediation tracking when control failures or gaps are identified.			
CC1.4	CC1.4 Control Environment readiness	Demonstrate that control environment is defined, operated, and reviewable with reproducible local evidence.	Written policy or procedure showing ownership, approval, and review cadence for control environment.; Operational records (logs, tickets, reports, or dashboards) demonstrating the control is performed consistently.; Evidence of exception handling and remediation tracking when control failures or gaps are identified.	GAP	5	0
CC1.5	CC1.5 Control Environment readiness	Demonstrate that control environment is defined, operated, and reviewable with reproducible local evidence.	Written policy or procedure showing ownership, approval, and review cadence for control environment.; Operational records (logs, tickets, reports, or dashboards) demonstrating the control is performed consistently.; Evidence of exception handling and remediation tracking when control failures or gaps are identified.	GAP	4	0
CC2.1	CC2.1 Communication and Information readiness	Demonstrate that communication and information is defined,	Written policy or procedure showing ownership, approval, and review cadence for	GAP	4	0



CONTROL_ID	TITLE	OBJECTIVE	EVIDENCE EXPECTATIONS	STATUS	SEVERITY	EVIDENCE_COUNT
		operated, and reviewable with reproducible local evidence.	communication and information.; Operational records (logs, tickets, reports, or dashboards) demonstrating the control is performed consistently.; Evidence of exception handling and remediation tracking when control failures or gaps are identified.			
CC2.2	CC2.2 Communication and Information readiness	Demonstrate that communication and information is defined, operated, and reviewable with reproducible local evidence.	Written policy or procedure showing ownership, approval, and review cadence for communication and information.; Operational records (logs, tickets, reports, or dashboards) demonstrating the control is performed consistently.; Evidence of exception handling and remediation tracking when control failures or gaps are identified.	GAP	5	0
CC2.3	CC2.3 Communication and Information readiness	Demonstrate that communication and information is defined, operated, and reviewable with reproducible local evidence.	Written policy or procedure showing ownership, approval, and review cadence for communication and information.; Operational records (logs, tickets, reports, or dashboards) demonstrating the control is performed consistently.; Evidence of exception handling and remediation tracking when control failures or gaps are identified.	GAP	4	0
CC2.4	CC2.4 Communication	Demonstrate that communication and information is	Written policy or procedure showing ownership, approval,	GAP	5	0



CONTROL_ID	TITLE	OBJECTIVE	EVIDENCE EXPECTATIONS	STATUS	SEVERITY	EVIDENCE_COUNT
	and Information readiness	defined, operated, and reviewable with reproducible local evidence.	and review cadence for communication and information.; Operational records (logs, tickets, reports, or dashboards) demonstrating the control is performed consistently.; Evidence of exception handling and remediation tracking when control failures or gaps are identified.			
CC2.5	CC2.5 Communication and Information readiness	Demonstrate that communication and information is defined, operated, and reviewable with reproducible local evidence.	Written policy or procedure showing ownership, approval, and review cadence for communication and information.; Operational records (logs, tickets, reports, or dashboards) demonstrating the control is performed consistently.; Evidence of exception handling and remediation tracking when control failures or gaps are identified.	GAP	4	0
CC3.1	CC3.1 Risk Assessment readiness	Demonstrate that risk assessment is defined, operated, and reviewable with reproducible local evidence.	Written policy or procedure showing ownership, approval, and review cadence for risk assessment.; Operational records (logs, tickets, reports, or dashboards) demonstrating the control is performed consistently.; Evidence of exception handling and remediation tracking when control failures or gaps are identified.	GAP	4	0
CC3.2	CC3.2 Risk Assessment readiness	Demonstrate that risk assessment is defined,	Written policy or procedure showing ownership, approval,	GAP	5	0



CONTROL_ID	TITLE	OBJECTIVE	EVIDENCE EXPECTATIONS	STATUS	SEVERITY	EVIDENCE_COUNT
		operated, and reviewable with reproducible local evidence.	and review cadence for risk assessment.; Operational records (logs, tickets, reports, or dashboards) demonstrating the control is performed consistently.; Evidence of exception handling and remediation tracking when control failures or gaps are identified.			
CC3.3	CC3.3 Risk Assessment readiness	Demonstrate that risk assessment is defined, operated, and reviewable with reproducible local evidence.	Written policy or procedure showing ownership, approval, and review cadence for risk assessment.; Operational records (logs, tickets, reports, or dashboards) demonstrating the control is performed consistently.; Evidence of exception handling and remediation tracking when control failures or gaps are identified.	GAP	4	0
CC3.4	CC3.4 Risk Assessment readiness	Demonstrate that risk assessment is defined, operated, and reviewable with reproducible local evidence.	Written policy or procedure showing ownership, approval, and review cadence for risk assessment.; Operational records (logs, tickets, reports, or dashboards) demonstrating the control is performed consistently.; Evidence of exception handling and remediation tracking when control failures or gaps are identified.	GAP	5	0
CC3.5	CC3.5 Risk Assessment readiness	Demonstrate that risk assessment is defined, operated, and reviewable with	Written policy or procedure showing ownership, approval, and review cadence for risk assessment.;	GAP	4	0



CONTROL_ID	TITLE	OBJECTIVE	EVIDENCE EXPECTATIONS	STATUS	SEVERITY	EVIDENCE_COUNT
		reproducible local evidence.	Operational records (logs, tickets, reports, or dashboards) demonstrating the control is performed consistently.; Evidence of exception handling and remediation tracking when control failures or gaps are identified.			
CC4.1	CC4.1 Monitoring Activities readiness	Demonstrate that monitoring activities is defined, operated, and reviewable with reproducible local evidence.	Written policy or procedure showing ownership, approval, and review cadence for monitoring activities.; Operational records (logs, tickets, reports, or dashboards) demonstrating the control is performed consistently.; Evidence of exception handling and remediation tracking when control failures or gaps are identified.	GAP	4	0
CC4.2	CC4.2 Monitoring Activities readiness	Demonstrate that monitoring activities is defined, operated, and reviewable with reproducible local evidence.	Written policy or procedure showing ownership, approval, and review cadence for monitoring activities.; Operational records (logs, tickets, reports, or dashboards) demonstrating the control is performed consistently.; Evidence of exception handling and remediation tracking when control failures or gaps are identified.	GAP	5	0
CC4.3	CC4.3 Monitoring Activities readiness	Demonstrate that monitoring activities is defined, operated, and reviewable with	Written policy or procedure showing ownership, approval, and review cadence for monitoring activities.; Operational records (logs, tickets, reports,	GAP	4	0

CONTROL_ID	TITLE	OBJECTIVE	EVIDENCE EXPECTATIONS	STATUS	SEVERITY	EVIDENCE_COUNT
		reproducible local evidence.	or dashboards) demonstrating the control is performed consistently.; Evidence of exception handling and remediation tracking when control failures or gaps are identified.			
CC4.4	CC4.4 Monitoring Activities readiness	Demonstrate that monitoring activities is defined, operated, and reviewable with reproducible local evidence.	Written policy or procedure showing ownership, approval, and review cadence for monitoring activities.; Operational records (logs, tickets, reports, or dashboards) demonstrating the control is performed consistently.; Evidence of exception handling and remediation tracking when control failures or gaps are identified.	GAP	5	0
CC4.5	CC4.5 Monitoring Activities readiness	Demonstrate that monitoring activities is defined, operated, and reviewable with reproducible local evidence.	Written policy or procedure showing ownership, approval, and review cadence for monitoring activities.; Operational records (logs, tickets, reports, or dashboards) demonstrating the control is performed consistently.; Evidence of exception handling and remediation tracking when control failures or gaps are identified.	GAP	4	0
CC5.1	CC5.1 Control Activities readiness	Demonstrate that control activities is defined, operated, and reviewable with reproducible local evidence.	Written policy or procedure showing ownership, approval, and review cadence for control activities.; Operational records (logs, tickets, reports, or dashboards) demonstrating the	GAP	4	0



CONTROL_ID	TITLE	OBJECTIVE	EVIDENCE EXPECTATIONS	STATUS	SEVERITY	EVIDENCE_COUNT
			control is performed consistently.; Evidence of exception handling and remediation tracking when control failures or gaps are identified.			
CC5.2	CC5.2 Control Activities readiness	Demonstrate that control activities is defined, operated, and reviewable with reproducible local evidence.	Written policy or procedure showing ownership, approval, and review cadence for control activities.; Operational records (logs, tickets, reports, or dashboards) demonstrating the control is performed consistently.; Evidence of exception handling and remediation tracking when control failures or gaps are identified.	GAP	5	0
CC5.3	CC5.3 Control Activities readiness	Demonstrate that control activities is defined, operated, and reviewable with reproducible local evidence.	Written policy or procedure showing ownership, approval, and review cadence for control activities.; Operational records (logs, tickets, reports, or dashboards) demonstrating the control is performed consistently.; Evidence of exception handling and remediation tracking when control failures or gaps are identified.	GAP	4	0
CC5.4	CC5.4 Control Activities readiness	Demonstrate that control activities is defined, operated, and reviewable with reproducible local evidence.	Written policy or procedure showing ownership, approval, and review cadence for control activities.; Operational records (logs, tickets, reports, or dashboards) demonstrating the control is performed consistently.; Evidence	GAP	5	0



CONTROL_ID	TITLE	OBJECTIVE	EVIDENCE EXPECTATIONS	STATUS	SEVERITY	EVIDENCE_COUNT
			of exception handling and remediation tracking when control failures or gaps are identified.			
CC5.5	CC5.5 Control Activities readiness	Demonstrate that control activities is defined, operated, and reviewable with reproducible local evidence.	Written policy or procedure showing ownership, approval, and review cadence for control activities.; Operational records (logs, tickets, reports, or dashboards) demonstrating the control is performed consistently.; Evidence of exception handling and remediation tracking when control failures or gaps are identified.	GAP	4	0
CC6.1	CC6.1 Logical and Physical Access readiness	Demonstrate that logical and physical access is defined, operated, and reviewable with reproducible local evidence.	Written policy or procedure showing ownership, approval, and review cadence for logical and physical access.; Operational records (logs, tickets, reports, or dashboards) demonstrating the control is performed consistently.; Evidence of exception handling and remediation tracking when control failures or gaps are identified.	GAP	4	0
CC6.2	CC6.2 Logical and Physical Access readiness	Demonstrate that logical and physical access is defined, operated, and reviewable with reproducible local evidence.	Written policy or procedure showing ownership, approval, and review cadence for logical and physical access.; Operational records (logs, tickets, reports, or dashboards) demonstrating the control is performed consistently.; Evidence of exception handling and remediation	GAP	5	0



CONTROL_ID	TITLE	OBJECTIVE	EVIDENCE EXPECTATIONS	STATUS	SEVERITY	EVIDENCE_COUNT
			tracking when control failures or gaps are identified.			
CC6.3	CC6.3 Logical and Physical Access readiness	Demonstrate that logical and physical access is defined, operated, and reviewable with reproducible local evidence.	Written policy or procedure showing ownership, approval, and review cadence for logical and physical access.; Operational records (logs, tickets, reports, or dashboards) demonstrating the control is performed consistently.; Evidence of exception handling and remediation tracking when control failures or gaps are identified.	GAP	4	0
CC6.4	CC6.4 Logical and Physical Access readiness	Demonstrate that logical and physical access is defined, operated, and reviewable with reproducible local evidence.	Written policy or procedure showing ownership, approval, and review cadence for logical and physical access.; Operational records (logs, tickets, reports, or dashboards) demonstrating the control is performed consistently.; Evidence of exception handling and remediation tracking when control failures or gaps are identified.	GAP	5	0
CC6.5	CC6.5 Logical and Physical Access readiness	Demonstrate that logical and physical access is defined, operated, and reviewable with reproducible local evidence.	Written policy or procedure showing ownership, approval, and review cadence for logical and physical access.; Operational records (logs, tickets, reports, or dashboards) demonstrating the control is performed consistently.; Evidence of exception handling and remediation tracking when control	GAP	4	0



CONTROL_ID	TITLE	OBJECTIVE	EVIDENCE EXPECTATIONS	STATUS	SEVERITY	EVIDENCE_COUNT
			failures or gaps are identified.			
CC7.1	CC7.1 System Operations readiness	Demonstrate that system operations is defined, operated, and reviewable with reproducible local evidence.	Written policy or procedure showing ownership, approval, and review cadence for system operations.; Operational records (logs, tickets, reports, or dashboards) demonstrating the control is performed consistently.; Evidence of exception handling and remediation tracking when control failures or gaps are identified.	GAP	4	0
CC7.2	CC7.2 System Operations readiness	Demonstrate that system operations is defined, operated, and reviewable with reproducible local evidence.	Written policy or procedure showing ownership, approval, and review cadence for system operations.; Operational records (logs, tickets, reports, or dashboards) demonstrating the control is performed consistently.; Evidence of exception handling and remediation tracking when control failures or gaps are identified.	GAP	5	0
CC7.3	CC7.3 System Operations readiness	Demonstrate that system operations is defined, operated, and reviewable with reproducible local evidence.	Written policy or procedure showing ownership, approval, and review cadence for system operations.; Operational records (logs, tickets, reports, or dashboards) demonstrating the control is performed consistently.; Evidence of exception handling and remediation tracking when control failures or gaps are identified.	GAP	4	0



CONTROL_ID	TITLE	OBJECTIVE	EVIDENCE EXPECTATIONS	STATUS	SEVERITY	EVIDENCE_COUNT
CC7.4	CC7.4 System Operations readiness	Demonstrate that system operations is defined, operated, and reviewable with reproducible local evidence.	Written policy or procedure showing ownership, approval, and review cadence for system operations.; Operational records (logs, tickets, reports, or dashboards) demonstrating the control is performed consistently.; Evidence of exception handling and remediation tracking when control failures or gaps are identified.	GAP	5	0
CC7.5	CC7.5 System Operations readiness	Demonstrate that system operations is defined, operated, and reviewable with reproducible local evidence.	Written policy or procedure showing ownership, approval, and review cadence for system operations.; Operational records (logs, tickets, reports, or dashboards) demonstrating the control is performed consistently.; Evidence of exception handling and remediation tracking when control failures or gaps are identified.	GAP	4	0
CC8.1	CC8.1 Change Management readiness	Demonstrate that change management is defined, operated, and reviewable with reproducible local evidence.	Written policy or procedure showing ownership, approval, and review cadence for change management.; Operational records (logs, tickets, reports, or dashboards) demonstrating the control is performed consistently.; Evidence of exception handling and remediation tracking when control failures or gaps are identified.	GAP	4	0
CC8.2	CC8.2 Change Management	Demonstrate that change	Written policy or procedure showing	GAP	5	0



CONTROL_ID	TITLE	OBJECTIVE	EVIDENCE EXPECTATIONS	STATUS	SEVERITY	EVIDENCE_COUNT
	readiness	management is defined, operated, and reviewable with reproducible local evidence.	ownership, approval, and review cadence for change management.; Operational records (logs, tickets, reports, or dashboards) demonstrating the control is performed consistently.; Evidence of exception handling and remediation tracking when control failures or gaps are identified.			
CC8.3	CC8.3 Change Management readiness	Demonstrate that change management is defined, operated, and reviewable with reproducible local evidence.	Written policy or procedure showing ownership, approval, and review cadence for change management.; Operational records (logs, tickets, reports, or dashboards) demonstrating the control is performed consistently.; Evidence of exception handling and remediation tracking when control failures or gaps are identified.	GAP	4	0
CC8.4	CC8.4 Change Management readiness	Demonstrate that change management is defined, operated, and reviewable with reproducible local evidence.	Written policy or procedure showing ownership, approval, and review cadence for change management.; Operational records (logs, tickets, reports, or dashboards) demonstrating the control is performed consistently.; Evidence of exception handling and remediation tracking when control failures or gaps are identified.	GAP	5	0
CC8.5	CC8.5 Change Management readiness	Demonstrate that change management is defined,	Written policy or procedure showing ownership, approval, and review cadence for	GAP	4	0



CONTROL_ID	TITLE	OBJECTIVE	EVIDENCE EXPECTATIONS	STATUS	SEVERITY	EVIDENCE_COUNT
		operated, and reviewable with reproducible local evidence.	change management.; Operational records (logs, tickets, reports, or dashboards) demonstrating the control is performed consistently.; Evidence of exception handling and remediation tracking when control failures or gaps are identified.			
CC9.1	CC9.1 Risk Mitigation readiness	Demonstrate that risk mitigation is defined, operated, and reviewable with reproducible local evidence.	Written policy or procedure showing ownership, approval, and review cadence for risk mitigation.; Operational records (logs, tickets, reports, or dashboards) demonstrating the control is performed consistently.; Evidence of exception handling and remediation tracking when control failures or gaps are identified.	GAP	4	0
CC9.2	CC9.2 Risk Mitigation readiness	Demonstrate that risk mitigation is defined, operated, and reviewable with reproducible local evidence.	Written policy or procedure showing ownership, approval, and review cadence for risk mitigation.; Operational records (logs, tickets, reports, or dashboards) demonstrating the control is performed consistently.; Evidence of exception handling and remediation tracking when control failures or gaps are identified.	GAP	5	0
CC9.3	CC9.3 Risk Mitigation readiness	Demonstrate that risk mitigation is defined, operated, and reviewable with	Written policy or procedure showing ownership, approval, and review cadence for risk mitigation.; Operational records	GAP	4	0



CONTROL_ID	TITLE	OBJECTIVE	EVIDENCE EXPECTATIONS	STATUS	SEVERITY	EVIDENCE_COUNT
		reproducible local evidence.	(logs, tickets, reports, or dashboards) demonstrating the control is performed consistently.; Evidence of exception handling and remediation tracking when control failures or gaps are identified.			
CC9.4	CC9.4 Risk Mitigation readiness	Demonstrate that risk mitigation is defined, operated, and reviewable with reproducible local evidence.	Written policy or procedure showing ownership, approval, and review cadence for risk mitigation.; Operational records (logs, tickets, reports, or dashboards) demonstrating the control is performed consistently.; Evidence of exception handling and remediation tracking when control failures or gaps are identified.	GAP	5	0
CC9.5	CC9.5 Risk Mitigation readiness	Demonstrate that risk mitigation is defined, operated, and reviewable with reproducible local evidence.	Written policy or procedure showing ownership, approval, and review cadence for risk mitigation.; Operational records (logs, tickets, reports, or dashboards) demonstrating the control is performed consistently.; Evidence of exception handling and remediation tracking when control failures or gaps are identified.	GAP	4	0
C1.1	C1.1 Confidentiality Commitments readiness	Demonstrate that confidentiality commitments is defined, operated, and reviewable with reproducible local evidence.	Written policy or procedure showing ownership, approval, and review cadence for confidentiality commitments.; Operational records (logs, tickets, reports,	GAP	5	0



CONTROL_ID	TITLE	OBJECTIVE	EVIDENCE EXPECTATIONS	STATUS	SEVERITY	EVIDENCE_COUNT
			or dashboards) demonstrating the control is performed consistently.; Evidence of exception handling and remediation tracking when control failures or gaps are identified.			
C1.2	C1.2 Confidentiality Commitments readiness	Demonstrate that confidentiality commitments is defined, operated, and reviewable with reproducible local evidence.	Written policy or procedure showing ownership, approval, and review cadence for confidentiality commitments.; Operational records (logs, tickets, reports, or dashboards) demonstrating the control is performed consistently.; Evidence of exception handling and remediation tracking when control failures or gaps are identified.	GAP	4	0
C1.3	C1.3 Confidentiality Commitments readiness	Demonstrate that confidentiality commitments is defined, operated, and reviewable with reproducible local evidence.	Written policy or procedure showing ownership, approval, and review cadence for confidentiality commitments.; Operational records (logs, tickets, reports, or dashboards) demonstrating the control is performed consistently.; Evidence of exception handling and remediation tracking when control failures or gaps are identified.	GAP	5	0
C1.4	C1.4 Confidentiality Commitments readiness	Demonstrate that confidentiality commitments is defined, operated, and reviewable with	Written policy or procedure showing ownership, approval, and review cadence for confidentiality commitments.; Operational records	GAP	4	0



CONTROL_ID	TITLE	OBJECTIVE	EVIDENCE EXPECTATIONS	STATUS	SEVERITY	EVIDENCE_COUNT
		reproducible local evidence.	(logs, tickets, reports, or dashboards) demonstrating the control is performed consistently.; Evidence of exception handling and remediation tracking when control failures or gaps are identified.			
C1.5	C1.5 Confidentiality Commitments readiness	Demonstrate that confidentiality commitments is defined, operated, and reviewable with reproducible local evidence.	Written policy or procedure showing ownership, approval, and review cadence for confidentiality commitments.; Operational records (logs, tickets, reports, or dashboards) demonstrating the control is performed consistently.; Evidence of exception handling and remediation tracking when control failures or gaps are identified.	GAP	5	0
C1.6	C1.6 Confidentiality Commitments readiness	Demonstrate that confidentiality commitments is defined, operated, and reviewable with reproducible local evidence.	Written policy or procedure showing ownership, approval, and review cadence for confidentiality commitments.; Operational records (logs, tickets, reports, or dashboards) demonstrating the control is performed consistently.; Evidence of exception handling and remediation tracking when control failures or gaps are identified.	GAP	4	0
C2.1	C2.1 Confidential Data Lifecycle readiness	Demonstrate that confidential data lifecycle is defined, operated, and reviewable with	Written policy or procedure showing ownership, approval, and review cadence for confidential data lifecycle.; Operational	GAP	5	0



CONTROL_ID	TITLE	OBJECTIVE	EVIDENCE EXPECTATIONS	STATUS	SEVERITY	EVIDENCE_COUNT
		reproducible local evidence.	records (logs, tickets, reports, or dashboards) demonstrating the control is performed consistently.; Evidence of exception handling and remediation tracking when control failures or gaps are identified.			
C2.2	C2.2 Confidential Data Lifecycle readiness	Demonstrate that confidential data lifecycle is defined, operated, and reviewable with reproducible local evidence.	Written policy or procedure showing ownership, approval, and review cadence for confidential data lifecycle.; Operational records (logs, tickets, reports, or dashboards) demonstrating the control is performed consistently.; Evidence of exception handling and remediation tracking when control failures or gaps are identified.	GAP	4	0
C2.3	C2.3 Confidential Data Lifecycle readiness	Demonstrate that confidential data lifecycle is defined, operated, and reviewable with reproducible local evidence.	Written policy or procedure showing ownership, approval, and review cadence for confidential data lifecycle.; Operational records (logs, tickets, reports, or dashboards) demonstrating the control is performed consistently.; Evidence of exception handling and remediation tracking when control failures or gaps are identified.	GAP	5	0
C2.4	C2.4 Confidential Data Lifecycle readiness	Demonstrate that confidential data lifecycle is defined, operated, and reviewable with reproducible local evidence.	Written policy or procedure showing ownership, approval, and review cadence for confidential data lifecycle.; Operational records (logs, tickets, reports, or dashboards)	GAP	4	0



CONTROL_ID	TITLE	OBJECTIVE	EVIDENCE EXPECTATIONS	STATUS	SEVERITY	EVIDENCE_COUNT
			demonstrating the control is performed consistently.; Evidence of exception handling and remediation tracking when control failures or gaps are identified.			
C2.5	C2.5 Confidential Data Lifecycle readiness	Demonstrate that confidential data lifecycle is defined, operated, and reviewable with reproducible local evidence.	Written policy or procedure showing ownership, approval, and review cadence for confidential data lifecycle.; Operational records (logs, tickets, reports, or dashboards) demonstrating the control is performed consistently.; Evidence of exception handling and remediation tracking when control failures or gaps are identified.	GAP	5	0
C2.6	C2.6 Confidential Data Lifecycle readiness	Demonstrate that confidential data lifecycle is defined, operated, and reviewable with reproducible local evidence.	Written policy or procedure showing ownership, approval, and review cadence for confidential data lifecycle.; Operational records (logs, tickets, reports, or dashboards) demonstrating the control is performed consistently.; Evidence of exception handling and remediation tracking when control failures or gaps are identified.	GAP	4	0
A1.1	A1.1 Availability Planning readiness	Demonstrate that availability planning is defined, operated, and reviewable with reproducible local evidence.	Written policy or procedure showing ownership, approval, and review cadence for availability planning.; Operational records (logs, tickets, reports, or dashboards) demonstrating the control is performed	GAP	4	0



CONTROL_ID	TITLE	OBJECTIVE	EVIDENCE EXPECTATIONS	STATUS	SEVERITY	EVIDENCE_COUNT
			consistently.; Evidence of exception handling and remediation tracking when control failures or gaps are identified.			
A1.2	A1.2 Availability Planning readiness	Demonstrate that availability planning is defined, operated, and reviewable with reproducible local evidence.	Written policy or procedure showing ownership, approval, and review cadence for availability planning.; Operational records (logs, tickets, reports, or dashboards) demonstrating the control is performed consistently.; Evidence of exception handling and remediation tracking when control failures or gaps are identified.	GAP	3	0
A1.3	A1.3 Availability Planning readiness	Demonstrate that availability planning is defined, operated, and reviewable with reproducible local evidence.	Written policy or procedure showing ownership, approval, and review cadence for availability planning.; Operational records (logs, tickets, reports, or dashboards) demonstrating the control is performed consistently.; Evidence of exception handling and remediation tracking when control failures or gaps are identified.	GAP	4	0
A1.4	A1.4 Availability Planning readiness	Demonstrate that availability planning is defined, operated, and reviewable with reproducible local evidence.	Written policy or procedure showing ownership, approval, and review cadence for availability planning.; Operational records (logs, tickets, reports, or dashboards) demonstrating the control is performed consistently.; Evidence of exception handling	GAP	3	0



CONTROL_ID	TITLE	OBJECTIVE	EVIDENCE EXPECTATIONS	STATUS	SEVERITY	EVIDENCE_COUNT
			and remediation tracking when control failures or gaps are identified.			
A2.1	A2.1 Backup and Recovery readiness	Demonstrate that backup and recovery is defined, operated, and reviewable with reproducible local evidence.	Written policy or procedure showing ownership, approval, and review cadence for backup and recovery.; Operational records (logs, tickets, reports, or dashboards) demonstrating the control is performed consistently.; Evidence of exception handling and remediation tracking when control failures or gaps are identified.	GAP	4	0
A2.2	A2.2 Backup and Recovery readiness	Demonstrate that backup and recovery is defined, operated, and reviewable with reproducible local evidence.	Written policy or procedure showing ownership, approval, and review cadence for backup and recovery.; Operational records (logs, tickets, reports, or dashboards) demonstrating the control is performed consistently.; Evidence of exception handling and remediation tracking when control failures or gaps are identified.	GAP	3	0
A2.3	A2.3 Backup and Recovery readiness	Demonstrate that backup and recovery is defined, operated, and reviewable with reproducible local evidence.	Written policy or procedure showing ownership, approval, and review cadence for backup and recovery.; Operational records (logs, tickets, reports, or dashboards) demonstrating the control is performed consistently.; Evidence of exception handling and remediation tracking when control	GAP	4	0



CONTROL_ID	TITLE	OBJECTIVE	EVIDENCE EXPECTATIONS	STATUS	SEVERITY	EVIDENCE_COUNT
			failures or gaps are identified.			
A2.4	A2.4 Backup and Recovery readiness	Demonstrate that backup and recovery is defined, operated, and reviewable with reproducible local evidence.	Written policy or procedure showing ownership, approval, and review cadence for backup and recovery.; Operational records (logs, tickets, reports, or dashboards) demonstrating the control is performed consistently.; Evidence of exception handling and remediation tracking when control failures or gaps are identified.	GAP	3	0
A3.1	A3.1 Resilience Testing readiness	Demonstrate that resilience testing is defined, operated, and reviewable with reproducible local evidence.	Written policy or procedure showing ownership, approval, and review cadence for resilience testing.; Operational records (logs, tickets, reports, or dashboards) demonstrating the control is performed consistently.; Evidence of exception handling and remediation tracking when control failures or gaps are identified.	GAP	4	0
A3.2	A3.2 Resilience Testing readiness	Demonstrate that resilience testing is defined, operated, and reviewable with reproducible local evidence.	Written policy or procedure showing ownership, approval, and review cadence for resilience testing.; Operational records (logs, tickets, reports, or dashboards) demonstrating the control is performed consistently.; Evidence of exception handling and remediation tracking when control failures or gaps are identified.	GAP	3	0



CONTROL_ID	TITLE	OBJECTIVE	EVIDENCE EXPECTATIONS	STATUS	SEVERITY	EVIDENCE_COUNT
A3.3	A3.3 Resilience Testing readiness	Demonstrate that resilience testing is defined, operated, and reviewable with reproducible local evidence.	Written policy or procedure showing ownership, approval, and review cadence for resilience testing.; Operational records (logs, tickets, reports, or dashboards) demonstrating the control is performed consistently.; Evidence of exception handling and remediation tracking when control failures or gaps are identified.	GAP	4	0
A3.4	A3.4 Resilience Testing readiness	Demonstrate that resilience testing is defined, operated, and reviewable with reproducible local evidence.	Written policy or procedure showing ownership, approval, and review cadence for resilience testing.; Operational records (logs, tickets, reports, or dashboards) demonstrating the control is performed consistently.; Evidence of exception handling and remediation tracking when control failures or gaps are identified.	GAP	3	0
PI1.1	PI1.1 Input Integrity readiness	Demonstrate that input integrity is defined, operated, and reviewable with reproducible local evidence.	Written policy or procedure showing ownership, approval, and review cadence for input integrity.; Operational records (logs, tickets, reports, or dashboards) demonstrating the control is performed consistently.; Evidence of exception handling and remediation tracking when control failures or gaps are identified.	GAP	3	0
PI1.2	PI1.2 Input Integrity	Demonstrate that input integrity is	Written policy or procedure showing	GAP	4	0



CONTROL_ID	TITLE	OBJECTIVE	EVIDENCE EXPECTATIONS	STATUS	SEVERITY	EVIDENCE_COUNT
	readiness	defined, operated, and reviewable with reproducible local evidence.	ownership, approval, and review cadence for input integrity.; Operational records (logs, tickets, reports, or dashboards) demonstrating the control is performed consistently.; Evidence of exception handling and remediation tracking when control failures or gaps are identified.			
PI1.3	PI1.3 Input Integrity readiness	Demonstrate that input integrity is defined, operated, and reviewable with reproducible local evidence.	Written policy or procedure showing ownership, approval, and review cadence for input integrity.; Operational records (logs, tickets, reports, or dashboards) demonstrating the control is performed consistently.; Evidence of exception handling and remediation tracking when control failures or gaps are identified.	GAP	3	0
PI2.1	PI2.1 Processing Accuracy readiness	Demonstrate that processing accuracy is defined, operated, and reviewable with reproducible local evidence.	Written policy or procedure showing ownership, approval, and review cadence for processing accuracy.; Operational records (logs, tickets, reports, or dashboards) demonstrating the control is performed consistently.; Evidence of exception handling and remediation tracking when control failures or gaps are identified.	GAP	3	0
PI2.2	PI2.2 Processing Accuracy readiness	Demonstrate that processing accuracy is defined,	Written policy or procedure showing ownership, approval, and review cadence for	GAP	4	0

CONTROL_ID	TITLE	OBJECTIVE	EVIDENCE EXPECTATIONS	STATUS	SEVERITY	EVIDENCE_COUNT
		operated, and reviewable with reproducible local evidence.	processing accuracy.; Operational records (logs, tickets, reports, or dashboards) demonstrating the control is performed consistently.; Evidence of exception handling and remediation tracking when control failures or gaps are identified.			
PI2.3	PI2.3 Processing Accuracy readiness	Demonstrate that processing accuracy is defined, operated, and reviewable with reproducible local evidence.	Written policy or procedure showing ownership, approval, and review cadence for processing accuracy.; Operational records (logs, tickets, reports, or dashboards) demonstrating the control is performed consistently.; Evidence of exception handling and remediation tracking when control failures or gaps are identified.	GAP	3	0
PI3.1	PI3.1 Exception Handling readiness	Demonstrate that exception handling is defined, operated, and reviewable with reproducible local evidence.	Written policy or procedure showing ownership, approval, and review cadence for exception handling.; Operational records (logs, tickets, reports, or dashboards) demonstrating the control is performed consistently.; Evidence of exception handling and remediation tracking when control failures or gaps are identified.	GAP	3	0
PI3.2	PI3.2 Exception Handling readiness	Demonstrate that exception handling is defined, operated, and reviewable with	Written policy or procedure showing ownership, approval, and review cadence for exception handling.; Operational records	GAP	4	0



CONTROL_ID	TITLE	OBJECTIVE	EVIDENCE EXPECTATIONS	STATUS	SEVERITY	EVIDENCE_COUNT
		reproducible local evidence.	(logs, tickets, reports, or dashboards) demonstrating the control is performed consistently.; Evidence of exception handling and remediation tracking when control failures or gaps are identified.			
PI3.3	PI3.3 Exception Handling readiness	Demonstrate that exception handling is defined, operated, and reviewable with reproducible local evidence.	Written policy or procedure showing ownership, approval, and review cadence for exception handling.; Operational records (logs, tickets, reports, or dashboards) demonstrating the control is performed consistently.; Evidence of exception handling and remediation tracking when control failures or gaps are identified.	GAP	3	0
PI4.1	PI4.1 Job and Batch Control readiness	Demonstrate that job and batch control is defined, operated, and reviewable with reproducible local evidence.	Written policy or procedure showing ownership, approval, and review cadence for job and batch control.; Operational records (logs, tickets, reports, or dashboards) demonstrating the control is performed consistently.; Evidence of exception handling and remediation tracking when control failures or gaps are identified.	GAP	3	0
PI4.2	PI4.2 Job and Batch Control readiness	Demonstrate that job and batch control is defined, operated, and reviewable with reproducible local evidence.	Written policy or procedure showing ownership, approval, and review cadence for job and batch control.; Operational records (logs, tickets, reports, or dashboards)	GAP	4	0



CONTROL_ID	TITLE	OBJECTIVE	EVIDENCE EXPECTATIONS	STATUS	SEVERITY	EVIDENCE_COUNT
			demonstrating the control is performed consistently.; Evidence of exception handling and remediation tracking when control failures or gaps are identified.			
PI4.3	PI4.3 Job and Batch Control readiness	Demonstrate that job and batch control is defined, operated, and reviewable with reproducible local evidence.	Written policy or procedure showing ownership, approval, and review cadence for job and batch control.; Operational records (logs, tickets, reports, or dashboards) demonstrating the control is performed consistently.; Evidence of exception handling and remediation tracking when control failures or gaps are identified.	GAP	3	0
PI5.1	PI5.1 Output Review readiness	Demonstrate that output review is defined, operated, and reviewable with reproducible local evidence.	Written policy or procedure showing ownership, approval, and review cadence for output review.; Operational records (logs, tickets, reports, or dashboards) demonstrating the control is performed consistently.; Evidence of exception handling and remediation tracking when control failures or gaps are identified.	GAP	3	0
PI5.2	PI5.2 Output Review readiness	Demonstrate that output review is defined, operated, and reviewable with reproducible local evidence.	Written policy or procedure showing ownership, approval, and review cadence for output review.; Operational records (logs, tickets, reports, or dashboards) demonstrating the control is performed	GAP	4	0



CONTROL_ID	TITLE	OBJECTIVE	EVIDENCE EXPECTATIONS	STATUS	SEVERITY	EVIDENCE_COUNT
			consistently.; Evidence of exception handling and remediation tracking when control failures or gaps are identified.			
PI5.3	PI5.3 Output Review readiness	Demonstrate that output review is defined, operated, and reviewable with reproducible local evidence.	Written policy or procedure showing ownership, approval, and review cadence for output review.; Operational records (logs, tickets, reports, or dashboards) demonstrating the control is performed consistently.; Evidence of exception handling and remediation tracking when control failures or gaps are identified.	GAP	3	0
P1.1	P1.1 Notice and Transparency readiness	Demonstrate that notice and transparency is defined, operated, and reviewable with reproducible local evidence.	Written policy or procedure showing ownership, approval, and review cadence for notice and transparency.; Operational records (logs, tickets, reports, or dashboards) demonstrating the control is performed consistently.; Evidence of exception handling and remediation tracking when control failures or gaps are identified.	GAP	4	0
P1.2	P1.2 Notice and Transparency readiness	Demonstrate that notice and transparency is defined, operated, and reviewable with reproducible local evidence.	Written policy or procedure showing ownership, approval, and review cadence for notice and transparency.; Operational records (logs, tickets, reports, or dashboards) demonstrating the control is performed	GAP	3	0



CONTROL_ID	TITLE	OBJECTIVE	EVIDENCE EXPECTATIONS	STATUS	SEVERITY	EVIDENCE_COUNT
			consistently.; Evidence of exception handling and remediation tracking when control failures or gaps are identified.			
P2.1	P2.1 Collection and Use Limitation readiness	Demonstrate that collection and use limitation is defined, operated, and reviewable with reproducible local evidence.	Written policy or procedure showing ownership, approval, and review cadence for collection and use limitation.; Operational records (logs, tickets, reports, or dashboards) demonstrating the control is performed consistently.; Evidence of exception handling and remediation tracking when control failures or gaps are identified.	GAP	4	0
P2.2	P2.2 Collection and Use Limitation readiness	Demonstrate that collection and use limitation is defined, operated, and reviewable with reproducible local evidence.	Written policy or procedure showing ownership, approval, and review cadence for collection and use limitation.; Operational records (logs, tickets, reports, or dashboards) demonstrating the control is performed consistently.; Evidence of exception handling and remediation tracking when control failures or gaps are identified.	GAP	3	0
P3.1	P3.1 Data Subject Rights readiness	Demonstrate that data subject rights is defined, operated, and reviewable with reproducible local evidence.	Written policy or procedure showing ownership, approval, and review cadence for data subject rights.; Operational records (logs, tickets, reports, or dashboards) demonstrating the control is performed consistently.; Evidence of exception handling	GAP	4	0



CONTROL_ID	TITLE	OBJECTIVE	EVIDENCE EXPECTATIONS	STATUS	SEVERITY	EVIDENCE_COUNT
			and remediation tracking when control failures or gaps are identified.			
P3.2	P3.2 Data Subject Rights readiness	Demonstrate that data subject rights is defined, operated, and reviewable with reproducible local evidence.	Written policy or procedure showing ownership, approval, and review cadence for data subject rights.; Operational records (logs, tickets, reports, or dashboards) demonstrating the control is performed consistently.; Evidence of exception handling and remediation tracking when control failures or gaps are identified.	GAP	3	0
P4.1	P4.1 Privacy Safeguards readiness	Demonstrate that privacy safeguards is defined, operated, and reviewable with reproducible local evidence.	Written policy or procedure showing ownership, approval, and review cadence for privacy safeguards.; Operational records (logs, tickets, reports, or dashboards) demonstrating the control is performed consistently.; Evidence of exception handling and remediation tracking when control failures or gaps are identified.	GAP	4	0
P4.2	P4.2 Privacy Safeguards readiness	Demonstrate that privacy safeguards is defined, operated, and reviewable with reproducible local evidence.	Written policy or procedure showing ownership, approval, and review cadence for privacy safeguards.; Operational records (logs, tickets, reports, or dashboards) demonstrating the control is performed consistently.; Evidence of exception handling and remediation tracking when control	GAP	3	0



CONTROL_ID	TITLE	OBJECTIVE	EVIDENCE EXPECTATIONS	STATUS	SEVERITY	EVIDENCE_COUNT
			failures or gaps are identified.			
P5.1	P5.1 Third-Party Privacy Management readiness	Demonstrate that third-party privacy management is defined, operated, and reviewable with reproducible local evidence.	Written policy or procedure showing ownership, approval, and review cadence for third-party privacy management.; Operational records (logs, tickets, reports, or dashboards) demonstrating the control is performed consistently.; Evidence of exception handling and remediation tracking when control failures or gaps are identified.	GAP	4	0
P5.2	P5.2 Third-Party Privacy Management readiness	Demonstrate that third-party privacy management is defined, operated, and reviewable with reproducible local evidence.	Written policy or procedure showing ownership, approval, and review cadence for third-party privacy management.; Operational records (logs, tickets, reports, or dashboards) demonstrating the control is performed consistently.; Evidence of exception handling and remediation tracking when control failures or gaps are identified.	GAP	3	0
P6.1	P6.1 Privacy Monitoring readiness	Demonstrate that privacy monitoring is defined, operated, and reviewable with reproducible local evidence.	Written policy or procedure showing ownership, approval, and review cadence for privacy monitoring.; Operational records (logs, tickets, reports, or dashboards) demonstrating the control is performed consistently.; Evidence of exception handling and remediation tracking when control	GAP	4	0



CONTROL_ID	TITLE	OBJECTIVE	EVIDENCE EXPECTATIONS	STATUS	SEVERITY	EVIDENCE_COUNT
			failures or gaps are identified.			
P6.2	P6.2 Privacy Monitoring readiness	Demonstrate that privacy monitoring is defined, operated, and reviewable with reproducible local evidence.	Written policy or procedure showing ownership, approval, and review cadence for privacy monitoring.; Operational records (logs, tickets, reports, or dashboards) demonstrating the control is performed consistently.; Evidence of exception handling and remediation tracking when control failures or gaps are identified.	GAP	3	0
P7.1	P7.1 Privacy Incident Response readiness	Demonstrate that privacy incident response is defined, operated, and reviewable with reproducible local evidence.	Written policy or procedure showing ownership, approval, and review cadence for privacy incident response.; Operational records (logs, tickets, reports, or dashboards) demonstrating the control is performed consistently.; Evidence of exception handling and remediation tracking when control failures or gaps are identified.	GAP	4	0
P7.2	P7.2 Privacy Incident Response readiness	Demonstrate that privacy incident response is defined, operated, and reviewable with reproducible local evidence.	Written policy or procedure showing ownership, approval, and review cadence for privacy incident response.; Operational records (logs, tickets, reports, or dashboards) demonstrating the control is performed consistently.; Evidence of exception handling and remediation tracking when control failures or gaps are identified.	GAP	3	0

CONTROL_ID	TITLE	OBJECTIVE	EVIDENCE EXPECTATIONS	STATUS	SEVERITY	EVIDENCE_COUNT
P8.1	P8.1 Retention and Disposal readiness	Demonstrate that retention and disposal is defined, operated, and reviewable with reproducible local evidence.	Written policy or procedure showing ownership, approval, and review cadence for retention and disposal.; Operational records (logs, tickets, reports, or dashboards) demonstrating the control is performed consistently.; Evidence of exception handling and remediation tracking when control failures or gaps are identified.	GAP	4	0
P8.2	P8.2 Retention and Disposal readiness	Demonstrate that retention and disposal is defined, operated, and reviewable with reproducible local evidence.	Written policy or procedure showing ownership, approval, and review cadence for retention and disposal.; Operational records (logs, tickets, reports, or dashboards) demonstrating the control is performed consistently.; Evidence of exception handling and remediation tracking when control failures or gaps are identified.	GAP	3	0

Gap Register

CONTROL_ID	TITLE	STATUS	SEVERITY	EVIDENCE_COUNT	MISSING_EVIDENCE	EVIDENCE EXPECTATIONS
CC1.1	CC1.1 Control Environment readiness	GAP	4	0	3	Written policy or procedure showing ownership, approval, and review cadence for control environment.; Operational records (logs, tickets, reports, or dashboards) demonstrating the control is performed

CONTROL_ID	TITLE	STATUS	SEVERITY	EVIDENCE_COUNT	MISSING_EVIDENCE	EVIDENCE EXPECTATIONS
						consistently.; Evidence of exception handling and remediation tracking when control failures or gaps are identified.
CC1.2	CC1.2 Control Environment readiness	GAP	5	0	3	Written policy or procedure showing ownership, approval, and review cadence for control environment.; Operational records (logs, tickets, reports, or dashboards) demonstrating the control is performed consistently.; Evidence of exception handling and remediation tracking when control failures or gaps are identified.
CC1.3	CC1.3 Control Environment readiness	GAP	4	0	3	Written policy or procedure showing ownership, approval, and review cadence for control environment.; Operational records (logs, tickets, reports, or dashboards) demonstrating the control is performed consistently.; Evidence of exception handling and remediation tracking when control failures or gaps are identified.
CC1.4	CC1.4 Control Environment readiness	GAP	5	0	3	Written policy or procedure showing ownership, approval, and review cadence for control environment.; Operational records (logs, tickets, reports, or dashboards) demonstrating the

CONTROL_ID	TITLE	STATUS	SEVERITY	EVIDENCE_COUNT	MISSING_EVIDENCE	EVIDENCE EXPECTATIONS
						control is performed consistently.; Evidence of exception handling and remediation tracking when control failures or gaps are identified.
CC1.5	CC1.5 Control Environment readiness	GAP	4	0	3	Written policy or procedure showing ownership, approval, and review cadence for control environment.; Operational records (logs, tickets, reports, or dashboards) demonstrating the control is performed consistently.; Evidence of exception handling and remediation tracking when control failures or gaps are identified.
CC2.1	CC2.1 Communication and Information readiness	GAP	4	0	3	Written policy or procedure showing ownership, approval, and review cadence for communication and information.; Operational records (logs, tickets, reports, or dashboards) demonstrating the control is performed consistently.; Evidence of exception handling and remediation tracking when control failures or gaps are identified.
CC2.2	CC2.2 Communication and Information readiness	GAP	5	0	3	Written policy or procedure showing ownership, approval, and review cadence for communication and information.; Operational records (logs, tickets, reports, or dashboards)

CONTROL_ID	TITLE	STATUS	SEVERITY	EVIDENCE_COUNT	MISSING_EVIDENCE	EVIDENCE EXPECTATIONS
						demonstrating the control is performed consistently.; Evidence of exception handling and remediation tracking when control failures or gaps are identified.
CC2.3	CC2.3 Communication and Information readiness	GAP	4	0	3	Written policy or procedure showing ownership, approval, and review cadence for communication and information.; Operational records (logs, tickets, reports, or dashboards) demonstrating the control is performed consistently.; Evidence of exception handling and remediation tracking when control failures or gaps are identified.
CC2.4	CC2.4 Communication and Information readiness	GAP	5	0	3	Written policy or procedure showing ownership, approval, and review cadence for communication and information.; Operational records (logs, tickets, reports, or dashboards) demonstrating the control is performed consistently.; Evidence of exception handling and remediation tracking when control failures or gaps are identified.
CC2.5	CC2.5 Communication and Information readiness	GAP	4	0	3	Written policy or procedure showing ownership, approval, and review cadence for communication and information.; Operational records (logs, tickets, reports,

CONTROL_ID	TITLE	STATUS	SEVERITY	EVIDENCE_COUNT	MISSING_EVIDENCE	EVIDENCE EXPECTATIONS
						or dashboards) demonstrating the control is performed consistently.; Evidence of exception handling and remediation tracking when control failures or gaps are identified.
CC3.1	CC3.1 Risk Assessment readiness	GAP	4	0	3	Written policy or procedure showing ownership, approval, and review cadence for risk assessment.; Operational records (logs, tickets, reports, or dashboards) demonstrating the control is performed consistently.; Evidence of exception handling and remediation tracking when control failures or gaps are identified.
CC3.2	CC3.2 Risk Assessment readiness	GAP	5	0	3	Written policy or procedure showing ownership, approval, and review cadence for risk assessment.; Operational records (logs, tickets, reports, or dashboards) demonstrating the control is performed consistently.; Evidence of exception handling and remediation tracking when control failures or gaps are identified.
CC3.3	CC3.3 Risk Assessment readiness	GAP	4	0	3	Written policy or procedure showing ownership, approval, and review cadence for risk assessment.; Operational records (logs, tickets, reports, or dashboards) demonstrating the



CONTROL_ID	TITLE	STATUS	SEVERITY	EVIDENCE_COUNT	MISSING_EVIDENCE	EVIDENCE EXPECTATIONS
						control is performed consistently.; Evidence of exception handling and remediation tracking when control failures or gaps are identified.
CC3.4	CC3.4 Risk Assessment readiness	GAP	5	0	3	Written policy or procedure showing ownership, approval, and review cadence for risk assessment.; Operational records (logs, tickets, reports, or dashboards) demonstrating the control is performed consistently.; Evidence of exception handling and remediation tracking when control failures or gaps are identified.
CC3.5	CC3.5 Risk Assessment readiness	GAP	4	0	3	Written policy or procedure showing ownership, approval, and review cadence for risk assessment.; Operational records (logs, tickets, reports, or dashboards) demonstrating the control is performed consistently.; Evidence of exception handling and remediation tracking when control failures or gaps are identified.
CC4.1	CC4.1 Monitoring Activities readiness	GAP	4	0	3	Written policy or procedure showing ownership, approval, and review cadence for monitoring activities.; Operational records (logs, tickets, reports, or dashboards) demonstrating the control is performed

CONTROL_ID	TITLE	STATUS	SEVERITY	EVIDENCE_COUNT	MISSING_EVIDENCE	EVIDENCE EXPECTATIONS
						consistently.; Evidence of exception handling and remediation tracking when control failures or gaps are identified.
CC4.2	CC4.2 Monitoring Activities readiness	GAP	5	0	3	Written policy or procedure showing ownership, approval, and review cadence for monitoring activities.; Operational records (logs, tickets, reports, or dashboards) demonstrating the control is performed consistently.; Evidence of exception handling and remediation tracking when control failures or gaps are identified.
CC4.3	CC4.3 Monitoring Activities readiness	GAP	4	0	3	Written policy or procedure showing ownership, approval, and review cadence for monitoring activities.; Operational records (logs, tickets, reports, or dashboards) demonstrating the control is performed consistently.; Evidence of exception handling and remediation tracking when control failures or gaps are identified.
CC4.4	CC4.4 Monitoring Activities readiness	GAP	5	0	3	Written policy or procedure showing ownership, approval, and review cadence for monitoring activities.; Operational records (logs, tickets, reports, or dashboards) demonstrating the

CONTROL_ID	TITLE	STATUS	SEVERITY	EVIDENCE_COUNT	MISSING_EVIDENCE	EVIDENCE EXPECTATIONS
						control is performed consistently.; Evidence of exception handling and remediation tracking when control failures or gaps are identified.
CC4.5	CC4.5 Monitoring Activities readiness	GAP	4	0	3	Written policy or procedure showing ownership, approval, and review cadence for monitoring activities.; Operational records (logs, tickets, reports, or dashboards) demonstrating the control is performed consistently.; Evidence of exception handling and remediation tracking when control failures or gaps are identified.
CC5.1	CC5.1 Control Activities readiness	GAP	4	0	3	Written policy or procedure showing ownership, approval, and review cadence for control activities.; Operational records (logs, tickets, reports, or dashboards) demonstrating the control is performed consistently.; Evidence of exception handling and remediation tracking when control failures or gaps are identified.
CC5.2	CC5.2 Control Activities readiness	GAP	5	0	3	Written policy or procedure showing ownership, approval, and review cadence for control activities.; Operational records (logs, tickets, reports, or dashboards) demonstrating the control is performed

CONTROL_ID	TITLE	STATUS	SEVERITY	EVIDENCE_COUNT	MISSING_EVIDENCE	EVIDENCE EXPECTATIONS
						consistently.; Evidence of exception handling and remediation tracking when control failures or gaps are identified.
CC5.3	CC5.3 Control Activities readiness	GAP	4	0	3	Written policy or procedure showing ownership, approval, and review cadence for control activities.; Operational records (logs, tickets, reports, or dashboards) demonstrating the control is performed consistently.; Evidence of exception handling and remediation tracking when control failures or gaps are identified.
CC5.4	CC5.4 Control Activities readiness	GAP	5	0	3	Written policy or procedure showing ownership, approval, and review cadence for control activities.; Operational records (logs, tickets, reports, or dashboards) demonstrating the control is performed consistently.; Evidence of exception handling and remediation tracking when control failures or gaps are identified.
CC5.5	CC5.5 Control Activities readiness	GAP	4	0	3	Written policy or procedure showing ownership, approval, and review cadence for control activities.; Operational records (logs, tickets, reports, or dashboards) demonstrating the control is performed consistently.; Evidence of exception

CONTROL_ID	TITLE	STATUS	SEVERITY	EVIDENCE_COUNT	MISSING_EVIDENCE	EVIDENCE EXPECTATIONS
						handling and remediation tracking when control failures or gaps are identified.
CC6.1	CC6.1 Logical and Physical Access readiness	GAP	4	0	3	Written policy or procedure showing ownership, approval, and review cadence for logical and physical access.; Operational records (logs, tickets, reports, or dashboards) demonstrating the control is performed consistently.; Evidence of exception handling and remediation tracking when control failures or gaps are identified.
CC6.2	CC6.2 Logical and Physical Access readiness	GAP	5	0	3	Written policy or procedure showing ownership, approval, and review cadence for logical and physical access.; Operational records (logs, tickets, reports, or dashboards) demonstrating the control is performed consistently.; Evidence of exception handling and remediation tracking when control failures or gaps are identified.
CC6.3	CC6.3 Logical and Physical Access readiness	GAP	4	0	3	Written policy or procedure showing ownership, approval, and review cadence for logical and physical access.; Operational records (logs, tickets, reports, or dashboards) demonstrating the control is performed consistently.;

CONTROL_ID	TITLE	STATUS	SEVERITY	EVIDENCE_COUNT	MISSING_EVIDENCE	EVIDENCE EXPECTATIONS
						Evidence of exception handling and remediation tracking when control failures or gaps are identified.
CC6.4	CC6.4 Logical and Physical Access readiness	GAP	5	0	3	Written policy or procedure showing ownership, approval, and review cadence for logical and physical access.; Operational records (logs, tickets, reports, or dashboards) demonstrating the control is performed consistently.; Evidence of exception handling and remediation tracking when control failures or gaps are identified.
CC6.5	CC6.5 Logical and Physical Access readiness	GAP	4	0	3	Written policy or procedure showing ownership, approval, and review cadence for logical and physical access.; Operational records (logs, tickets, reports, or dashboards) demonstrating the control is performed consistently.; Evidence of exception handling and remediation tracking when control failures or gaps are identified.
CC7.1	CC7.1 System Operations readiness	GAP	4	0	3	Written policy or procedure showing ownership, approval, and review cadence for system operations.; Operational records (logs, tickets, reports, or dashboards) demonstrating the control is performed

CONTROL_ID	TITLE	STATUS	SEVERITY	EVIDENCE_COUNT	MISSING_EVIDENCE	EVIDENCE EXPECTATIONS
						consistently.; Evidence of exception handling and remediation tracking when control failures or gaps are identified.
CC7.2	CC7.2 System Operations readiness	GAP	5	0	3	Written policy or procedure showing ownership, approval, and review cadence for system operations.; Operational records (logs, tickets, reports, or dashboards) demonstrating the control is performed consistently.; Evidence of exception handling and remediation tracking when control failures or gaps are identified.
CC7.3	CC7.3 System Operations readiness	GAP	4	0	3	Written policy or procedure showing ownership, approval, and review cadence for system operations.; Operational records (logs, tickets, reports, or dashboards) demonstrating the control is performed consistently.; Evidence of exception handling and remediation tracking when control failures or gaps are identified.
CC7.4	CC7.4 System Operations readiness	GAP	5	0	3	Written policy or procedure showing ownership, approval, and review cadence for system operations.; Operational records (logs, tickets, reports, or dashboards) demonstrating the

CONTROL_ID	TITLE	STATUS	SEVERITY	EVIDENCE_COUNT	MISSING_EVIDENCE	EVIDENCE EXPECTATIONS
						control is performed consistently.; Evidence of exception handling and remediation tracking when control failures or gaps are identified.
CC7.5	CC7.5 System Operations readiness	GAP	4	0	3	Written policy or procedure showing ownership, approval, and review cadence for system operations.; Operational records (logs, tickets, reports, or dashboards) demonstrating the control is performed consistently.; Evidence of exception handling and remediation tracking when control failures or gaps are identified.
CC8.1	CC8.1 Change Management readiness	GAP	4	0	3	Written policy or procedure showing ownership, approval, and review cadence for change management.; Operational records (logs, tickets, reports, or dashboards) demonstrating the control is performed consistently.; Evidence of exception handling and remediation tracking when control failures or gaps are identified.
CC8.2	CC8.2 Change Management readiness	GAP	5	0	3	Written policy or procedure showing ownership, approval, and review cadence for change management.; Operational records (logs, tickets, reports, or dashboards)

CONTROL_ID	TITLE	STATUS	SEVERITY	EVIDENCE_COUNT	MISSING_EVIDENCE	EVIDENCE EXPECTATIONS
						demonstrating the control is performed consistently.; Evidence of exception handling and remediation tracking when control failures or gaps are identified.
CC8.3	CC8.3 Change Management readiness	GAP	4	0	3	Written policy or procedure showing ownership, approval, and review cadence for change management.; Operational records (logs, tickets, reports, or dashboards) demonstrating the control is performed consistently.; Evidence of exception handling and remediation tracking when control failures or gaps are identified.
CC8.4	CC8.4 Change Management readiness	GAP	5	0	3	Written policy or procedure showing ownership, approval, and review cadence for change management.; Operational records (logs, tickets, reports, or dashboards) demonstrating the control is performed consistently.; Evidence of exception handling and remediation tracking when control failures or gaps are identified.
CC8.5	CC8.5 Change Management readiness	GAP	4	0	3	Written policy or procedure showing ownership, approval, and review cadence for change management.; Operational records (logs, tickets, reports,

CONTROL_ID	TITLE	STATUS	SEVERITY	EVIDENCE_COUNT	MISSING_EVIDENCE	EVIDENCE EXPECTATIONS
						or dashboards) demonstrating the control is performed consistently.; Evidence of exception handling and remediation tracking when control failures or gaps are identified.
CC9.1	CC9.1 Risk Mitigation readiness	GAP	4	0	3	Written policy or procedure showing ownership, approval, and review cadence for risk mitigation.; Operational records (logs, tickets, reports, or dashboards) demonstrating the control is performed consistently.; Evidence of exception handling and remediation tracking when control failures or gaps are identified.
CC9.2	CC9.2 Risk Mitigation readiness	GAP	5	0	3	Written policy or procedure showing ownership, approval, and review cadence for risk mitigation.; Operational records (logs, tickets, reports, or dashboards) demonstrating the control is performed consistently.; Evidence of exception handling and remediation tracking when control failures or gaps are identified.
CC9.3	CC9.3 Risk Mitigation readiness	GAP	4	0	3	Written policy or procedure showing ownership, approval, and review cadence for risk mitigation.; Operational records (logs, tickets, reports, or dashboards) demonstrating the



CONTROL_ID	TITLE	STATUS	SEVERITY	EVIDENCE_COUNT	MISSING_EVIDENCE	EVIDENCE EXPECTATIONS
						control is performed consistently.; Evidence of exception handling and remediation tracking when control failures or gaps are identified.
CC9.4	CC9.4 Risk Mitigation readiness	GAP	5	0	3	Written policy or procedure showing ownership, approval, and review cadence for risk mitigation.; Operational records (logs, tickets, reports, or dashboards) demonstrating the control is performed consistently.; Evidence of exception handling and remediation tracking when control failures or gaps are identified.
CC9.5	CC9.5 Risk Mitigation readiness	GAP	4	0	3	Written policy or procedure showing ownership, approval, and review cadence for risk mitigation.; Operational records (logs, tickets, reports, or dashboards) demonstrating the control is performed consistently.; Evidence of exception handling and remediation tracking when control failures or gaps are identified.
C1.1	C1.1 Confidentiality Commitments readiness	GAP	5	0	3	Written policy or procedure showing ownership, approval, and review cadence for confidentiality commitments.; Operational records (logs, tickets, reports, or dashboards) demonstrating the control is performed

CONTROL_ID	TITLE	STATUS	SEVERITY	EVIDENCE_COUNT	MISSING_EVIDENCE	EVIDENCE EXPECTATIONS
						consistently.; Evidence of exception handling and remediation tracking when control failures or gaps are identified.
C1.2	C1.2 Confidentiality Commitments readiness	GAP	4	0	3	Written policy or procedure showing ownership, approval, and review cadence for confidentiality commitments.; Operational records (logs, tickets, reports, or dashboards) demonstrating the control is performed consistently.; Evidence of exception handling and remediation tracking when control failures or gaps are identified.
C1.3	C1.3 Confidentiality Commitments readiness	GAP	5	0	3	Written policy or procedure showing ownership, approval, and review cadence for confidentiality commitments.; Operational records (logs, tickets, reports, or dashboards) demonstrating the control is performed consistently.; Evidence of exception handling and remediation tracking when control failures or gaps are identified.
C1.4	C1.4 Confidentiality Commitments readiness	GAP	4	0	3	Written policy or procedure showing ownership, approval, and review cadence for confidentiality commitments.; Operational records (logs, tickets, reports, or dashboards) demonstrating the

CONTROL_ID	TITLE	STATUS	SEVERITY	EVIDENCE_COUNT	MISSING_EVIDENCE	EVIDENCE EXPECTATIONS
						control is performed consistently.; Evidence of exception handling and remediation tracking when control failures or gaps are identified.
C1.5	C1.5 Confidentiality Commitments readiness	GAP	5	0	3	Written policy or procedure showing ownership, approval, and review cadence for confidentiality commitments.; Operational records (logs, tickets, reports, or dashboards) demonstrating the control is performed consistently.; Evidence of exception handling and remediation tracking when control failures or gaps are identified.
C1.6	C1.6 Confidentiality Commitments readiness	GAP	4	0	3	Written policy or procedure showing ownership, approval, and review cadence for confidentiality commitments.; Operational records (logs, tickets, reports, or dashboards) demonstrating the control is performed consistently.; Evidence of exception handling and remediation tracking when control failures or gaps are identified.
C2.1	C2.1 Confidential Data Lifecycle readiness	GAP	5	0	3	Written policy or procedure showing ownership, approval, and review cadence for confidential data lifecycle.; Operational records (logs, tickets, reports, or dashboards)

CONTROL_ID	TITLE	STATUS	SEVERITY	EVIDENCE_COUNT	MISSING_EVIDENCE	EVIDENCE EXPECTATIONS
						demonstrating the control is performed consistently.; Evidence of exception handling and remediation tracking when control failures or gaps are identified.
C2.2	C2.2 Confidential Data Lifecycle readiness	GAP	4	0	3	Written policy or procedure showing ownership, approval, and review cadence for confidential data lifecycle.; Operational records (logs, tickets, reports, or dashboards) demonstrating the control is performed consistently.; Evidence of exception handling and remediation tracking when control failures or gaps are identified.
C2.3	C2.3 Confidential Data Lifecycle readiness	GAP	5	0	3	Written policy or procedure showing ownership, approval, and review cadence for confidential data lifecycle.; Operational records (logs, tickets, reports, or dashboards) demonstrating the control is performed consistently.; Evidence of exception handling and remediation tracking when control failures or gaps are identified.
C2.4	C2.4 Confidential Data Lifecycle readiness	GAP	4	0	3	Written policy or procedure showing ownership, approval, and review cadence for confidential data lifecycle.; Operational records (logs, tickets, reports, or



CONTROL_ID	TITLE	STATUS	SEVERITY	EVIDENCE_COUNT	MISSING_EVIDENCE	EVIDENCE EXPECTATIONS
						dashboards) demonstrating the control is performed consistently.; Evidence of exception handling and remediation tracking when control failures or gaps are identified.
C2.5	C2.5 Confidential Data Lifecycle readiness	GAP	5	0	3	Written policy or procedure showing ownership, approval, and review cadence for confidential data lifecycle.; Operational records (logs, tickets, reports, or dashboards) demonstrating the control is performed consistently.; Evidence of exception handling and remediation tracking when control failures or gaps are identified.
C2.6	C2.6 Confidential Data Lifecycle readiness	GAP	4	0	3	Written policy or procedure showing ownership, approval, and review cadence for confidential data lifecycle.; Operational records (logs, tickets, reports, or dashboards) demonstrating the control is performed consistently.; Evidence of exception handling and remediation tracking when control failures or gaps are identified.
A1.1	A1.1 Availability Planning readiness	GAP	4	0	2	Written policy or procedure showing ownership, approval, and review cadence for availability planning.; Operational records (logs, tickets,

CONTROL_ID	TITLE	STATUS	SEVERITY	EVIDENCE_COUNT	MISSING_EVIDENCE	EVIDENCE EXPECTATIONS
						reports, or dashboards) demonstrating the control is performed consistently.; Evidence of exception handling and remediation tracking when control failures or gaps are identified.
A1.2	A1.2 Availability Planning readiness	GAP	3	0	2	Written policy or procedure showing ownership, approval, and review cadence for availability planning.; Operational records (logs, tickets, reports, or dashboards) demonstrating the control is performed consistently.; Evidence of exception handling and remediation tracking when control failures or gaps are identified.
A1.3	A1.3 Availability Planning readiness	GAP	4	0	2	Written policy or procedure showing ownership, approval, and review cadence for availability planning.; Operational records (logs, tickets, reports, or dashboards) demonstrating the control is performed consistently.; Evidence of exception handling and remediation tracking when control failures or gaps are identified.
A1.4	A1.4 Availability Planning readiness	GAP	3	0	2	Written policy or procedure showing ownership, approval, and review cadence for availability planning.; Operational

CONTROL_ID	TITLE	STATUS	SEVERITY	EVIDENCE_COUNT	MISSING_EVIDENCE	EVIDENCE EXPECTATIONS
						records (logs, tickets, reports, or dashboards) demonstrating the control is performed consistently.; Evidence of exception handling and remediation tracking when control failures or gaps are identified.
A2.1	A2.1 Backup and Recovery readiness	GAP	4	0	2	Written policy or procedure showing ownership, approval, and review cadence for backup and recovery.; Operational records (logs, tickets, reports, or dashboards) demonstrating the control is performed consistently.; Evidence of exception handling and remediation tracking when control failures or gaps are identified.
A2.2	A2.2 Backup and Recovery readiness	GAP	3	0	2	Written policy or procedure showing ownership, approval, and review cadence for backup and recovery.; Operational records (logs, tickets, reports, or dashboards) demonstrating the control is performed consistently.; Evidence of exception handling and remediation tracking when control failures or gaps are identified.
A2.3	A2.3 Backup and Recovery readiness	GAP	4	0	2	Written policy or procedure showing ownership, approval, and review cadence for backup and

CONTROL_ID	TITLE	STATUS	SEVERITY	EVIDENCE_COUNT	MISSING_EVIDENCE	EVIDENCE EXPECTATIONS
						recovery.; Operational records (logs, tickets, reports, or dashboards) demonstrating the control is performed consistently.; Evidence of exception handling and remediation tracking when control failures or gaps are identified.
A2.4	A2.4 Backup and Recovery readiness	GAP	3	0	2	Written policy or procedure showing ownership, approval, and review cadence for backup and recovery.; Operational records (logs, tickets, reports, or dashboards) demonstrating the control is performed consistently.; Evidence of exception handling and remediation tracking when control failures or gaps are identified.
A3.1	A3.1 Resilience Testing readiness	GAP	4	0	2	Written policy or procedure showing ownership, approval, and review cadence for resilience testing.; Operational records (logs, tickets, reports, or dashboards) demonstrating the control is performed consistently.; Evidence of exception handling and remediation tracking when control failures or gaps are identified.
A3.2	A3.2 Resilience Testing readiness	GAP	3	0	2	Written policy or procedure showing ownership, approval, and review cadence for resilience testing.;

CONTROL_ID	TITLE	STATUS	SEVERITY	EVIDENCE_COUNT	MISSING_EVIDENCE	EVIDENCE EXPECTATIONS
						Operational records (logs, tickets, reports, or dashboards) demonstrating the control is performed consistently.; Evidence of exception handling and remediation tracking when control failures or gaps are identified.
A3.3	A3.3 Resilience Testing readiness	GAP	4	0	2	Written policy or procedure showing ownership, approval, and review cadence for resilience testing.; Operational records (logs, tickets, reports, or dashboards) demonstrating the control is performed consistently.; Evidence of exception handling and remediation tracking when control failures or gaps are identified.
A3.4	A3.4 Resilience Testing readiness	GAP	3	0	2	Written policy or procedure showing ownership, approval, and review cadence for resilience testing.; Operational records (logs, tickets, reports, or dashboards) demonstrating the control is performed consistently.; Evidence of exception handling and remediation tracking when control failures or gaps are identified.
PI1.1	PI1.1 Input Integrity readiness	GAP	3	0	2	Written policy or procedure showing ownership, approval, and review cadence for input integrity.; Operational records (logs, tickets, reports,



CONTROL_ID	TITLE	STATUS	SEVERITY	EVIDENCE_COUNT	MISSING_EVIDENCE	EVIDENCE EXPECTATIONS
						or dashboards) demonstrating the control is performed consistently.; Evidence of exception handling and remediation tracking when control failures or gaps are identified.
PI1.2	PI1.2 Input Integrity readiness	GAP	4	0	2	Written policy or procedure showing ownership, approval, and review cadence for input integrity.; Operational records (logs, tickets, reports, or dashboards) demonstrating the control is performed consistently.; Evidence of exception handling and remediation tracking when control failures or gaps are identified.
PI1.3	PI1.3 Input Integrity readiness	GAP	3	0	2	Written policy or procedure showing ownership, approval, and review cadence for input integrity.; Operational records (logs, tickets, reports, or dashboards) demonstrating the control is performed consistently.; Evidence of exception handling and remediation tracking when control failures or gaps are identified.
PI2.1	PI2.1 Processing Accuracy readiness	GAP	3	0	2	Written policy or procedure showing ownership, approval, and review cadence for processing accuracy.; Operational records (logs, tickets, reports, or dashboards)

CONTROL_ID	TITLE	STATUS	SEVERITY	EVIDENCE_COUNT	MISSING_EVIDENCE	EVIDENCE EXPECTATIONS
						demonstrating the control is performed consistently.; Evidence of exception handling and remediation tracking when control failures or gaps are identified.
PI2.2	PI2.2 Processing Accuracy readiness	GAP	4	0	2	Written policy or procedure showing ownership, approval, and review cadence for processing accuracy.; Operational records (logs, tickets, reports, or dashboards) demonstrating the control is performed consistently.; Evidence of exception handling and remediation tracking when control failures or gaps are identified.
PI2.3	PI2.3 Processing Accuracy readiness	GAP	3	0	2	Written policy or procedure showing ownership, approval, and review cadence for processing accuracy.; Operational records (logs, tickets, reports, or dashboards) demonstrating the control is performed consistently.; Evidence of exception handling and remediation tracking when control failures or gaps are identified.
PI3.1	PI3.1 Exception Handling readiness	GAP	3	0	2	Written policy or procedure showing ownership, approval, and review cadence for exception handling.; Operational records (logs, tickets, reports, or

CONTROL_ID	TITLE	STATUS	SEVERITY	EVIDENCE_COUNT	MISSING_EVIDENCE	EVIDENCE EXPECTATIONS
						dashboards) demonstrating the control is performed consistently.; Evidence of exception handling and remediation tracking when control failures or gaps are identified.
PI3.2	PI3.2 Exception Handling readiness	GAP	4	0	2	Written policy or procedure showing ownership, approval, and review cadence for exception handling.; Operational records (logs, tickets, reports, or dashboards) demonstrating the control is performed consistently.; Evidence of exception handling and remediation tracking when control failures or gaps are identified.
PI3.3	PI3.3 Exception Handling readiness	GAP	3	0	2	Written policy or procedure showing ownership, approval, and review cadence for exception handling.; Operational records (logs, tickets, reports, or dashboards) demonstrating the control is performed consistently.; Evidence of exception handling and remediation tracking when control failures or gaps are identified.
PI4.1	PI4.1 Job and Batch Control readiness	GAP	3	0	2	Written policy or procedure showing ownership, approval, and review cadence for job and batch control.; Operational records (logs, tickets,



CONTROL_ID	TITLE	STATUS	SEVERITY	EVIDENCE_COUNT	MISSING_EVIDENCE	EVIDENCE EXPECTATIONS
						reports, or dashboards) demonstrating the control is performed consistently.; Evidence of exception handling and remediation tracking when control failures or gaps are identified.
PI4.2	PI4.2 Job and Batch Control readiness	GAP	4	0	2	Written policy or procedure showing ownership, approval, and review cadence for job and batch control.; Operational records (logs, tickets, reports, or dashboards) demonstrating the control is performed consistently.; Evidence of exception handling and remediation tracking when control failures or gaps are identified.
PI4.3	PI4.3 Job and Batch Control readiness	GAP	3	0	2	Written policy or procedure showing ownership, approval, and review cadence for job and batch control.; Operational records (logs, tickets, reports, or dashboards) demonstrating the control is performed consistently.; Evidence of exception handling and remediation tracking when control failures or gaps are identified.
PI5.1	PI5.1 Output Review readiness	GAP	3	0	2	Written policy or procedure showing ownership, approval, and review cadence for output review.; Operational records



CONTROL_ID	TITLE	STATUS	SEVERITY	EVIDENCE_COUNT	MISSING_EVIDENCE	EVIDENCE EXPECTATIONS
						(logs, tickets, reports, or dashboards) demonstrating the control is performed consistently.; Evidence of exception handling and remediation tracking when control failures or gaps are identified.
PI5.2	PI5.2 Output Review readiness	GAP	4	0	2	Written policy or procedure showing ownership, approval, and review cadence for output review.; Operational records (logs, tickets, reports, or dashboards) demonstrating the control is performed consistently.; Evidence of exception handling and remediation tracking when control failures or gaps are identified.
PI5.3	PI5.3 Output Review readiness	GAP	3	0	2	Written policy or procedure showing ownership, approval, and review cadence for output review.; Operational records (logs, tickets, reports, or dashboards) demonstrating the control is performed consistently.; Evidence of exception handling and remediation tracking when control failures or gaps are identified.
P1.1	P1.1 Notice and Transparency readiness	GAP	4	0	3	Written policy or procedure showing ownership, approval, and review cadence for notice and transparency.; Operational records (logs, tickets, reports,

CONTROL_ID	TITLE	STATUS	SEVERITY	EVIDENCE_COUNT	MISSING_EVIDENCE	EVIDENCE EXPECTATIONS
						or dashboards) demonstrating the control is performed consistently.; Evidence of exception handling and remediation tracking when control failures or gaps are identified.
P1.2	P1.2 Notice and Transparency readiness	GAP	3	0	3	Written policy or procedure showing ownership, approval, and review cadence for notice and transparency.; Operational records (logs, tickets, reports, or dashboards) demonstrating the control is performed consistently.; Evidence of exception handling and remediation tracking when control failures or gaps are identified.
P2.1	P2.1 Collection and Use Limitation readiness	GAP	4	0	3	Written policy or procedure showing ownership, approval, and review cadence for collection and use limitation.; Operational records (logs, tickets, reports, or dashboards) demonstrating the control is performed consistently.; Evidence of exception handling and remediation tracking when control failures or gaps are identified.
P2.2	P2.2 Collection and Use Limitation readiness	GAP	3	0	3	Written policy or procedure showing ownership, approval, and review cadence for collection and use limitation.; Operational records



CONTROL_ID	TITLE	STATUS	SEVERITY	EVIDENCE_COUNT	MISSING_EVIDENCE	EVIDENCE EXPECTATIONS
						(logs, tickets, reports, or dashboards) demonstrating the control is performed consistently.; Evidence of exception handling and remediation tracking when control failures or gaps are identified.
P3.1	P3.1 Data Subject Rights readiness	GAP	4	0	3	Written policy or procedure showing ownership, approval, and review cadence for data subject rights.; Operational records (logs, tickets, reports, or dashboards) demonstrating the control is performed consistently.; Evidence of exception handling and remediation tracking when control failures or gaps are identified.
P3.2	P3.2 Data Subject Rights readiness	GAP	3	0	3	Written policy or procedure showing ownership, approval, and review cadence for data subject rights.; Operational records (logs, tickets, reports, or dashboards) demonstrating the control is performed consistently.; Evidence of exception handling and remediation tracking when control failures or gaps are identified.
P4.1	P4.1 Privacy Safeguards readiness	GAP	4	0	3	Written policy or procedure showing ownership, approval, and review cadence for privacy safeguards.;

CONTROL_ID	TITLE	STATUS	SEVERITY	EVIDENCE_COUNT	MISSING_EVIDENCE	EVIDENCE EXPECTATIONS
						Operational records (logs, tickets, reports, or dashboards) demonstrating the control is performed consistently.; Evidence of exception handling and remediation tracking when control failures or gaps are identified.
P4.2	P4.2 Privacy Safeguards readiness	GAP	3	0	3	Written policy or procedure showing ownership, approval, and review cadence for privacy safeguards.; Operational records (logs, tickets, reports, or dashboards) demonstrating the control is performed consistently.; Evidence of exception handling and remediation tracking when control failures or gaps are identified.
P5.1	P5.1 Third-Party Privacy Management readiness	GAP	4	0	3	Written policy or procedure showing ownership, approval, and review cadence for third-party privacy management.; Operational records (logs, tickets, reports, or dashboards) demonstrating the control is performed consistently.; Evidence of exception handling and remediation tracking when control failures or gaps are identified.
P5.2	P5.2 Third-Party Privacy Management readiness	GAP	3	0	3	Written policy or procedure showing ownership, approval, and review cadence for third-party privacy

CONTROL_ID	TITLE	STATUS	SEVERITY	EVIDENCE_COUNT	MISSING_EVIDENCE	EVIDENCE EXPECTATIONS
						management.; Operational records (logs, tickets, reports, or dashboards) demonstrating the control is performed consistently.; Evidence of exception handling and remediation tracking when control failures or gaps are identified.
P6.1	P6.1 Privacy Monitoring readiness	GAP	4	0	3	Written policy or procedure showing ownership, approval, and review cadence for privacy monitoring.; Operational records (logs, tickets, reports, or dashboards) demonstrating the control is performed consistently.; Evidence of exception handling and remediation tracking when control failures or gaps are identified.
P6.2	P6.2 Privacy Monitoring readiness	GAP	3	0	3	Written policy or procedure showing ownership, approval, and review cadence for privacy monitoring.; Operational records (logs, tickets, reports, or dashboards) demonstrating the control is performed consistently.; Evidence of exception handling and remediation tracking when control failures or gaps are identified.
P7.1	P7.1 Privacy Incident Response readiness	GAP	4	0	3	Written policy or procedure showing ownership, approval, and review cadence

CONTROL_ID	TITLE	STATUS	SEVERITY	EVIDENCE_COUNT	MISSING_EVIDENCE	EVIDENCE EXPECTATIONS
						for privacy incident response.; Operational records (logs, tickets, reports, or dashboards) demonstrating the control is performed consistently.; Evidence of exception handling and remediation tracking when control failures or gaps are identified.
P7.2	P7.2 Privacy Incident Response readiness	GAP	3	0	3	Written policy or procedure showing ownership, approval, and review cadence for privacy incident response.; Operational records (logs, tickets, reports, or dashboards) demonstrating the control is performed consistently.; Evidence of exception handling and remediation tracking when control failures or gaps are identified.
P8.1	P8.1 Retention and Disposal readiness	GAP	4	0	3	Written policy or procedure showing ownership, approval, and review cadence for retention and disposal.; Operational records (logs, tickets, reports, or dashboards) demonstrating the control is performed consistently.; Evidence of exception handling and remediation tracking when control failures or gaps are identified.
P8.2	P8.2 Retention and Disposal readiness	GAP	3	0	3	Written policy or procedure showing ownership, approval,

CONTROL_ID	TITLE	STATUS	SEVERITY	EVIDENCE_COUNT	MISSING_EVIDENCE	EVIDENCE EXPECTATIONS
						and review cadence for retention and disposal.; Operational records (logs, tickets, reports, or dashboards) demonstrating the control is performed consistently.; Evidence of exception handling and remediation tracking when control failures or gaps are identified.

Evidence Appendix

CC1.1 - CC1.1 Control Environment readiness

GAP | severity 4 | evidence_count 0

Demonstrate that control environment is defined, operated, and reviewable with reproducible local evidence.

Expected evidence: Written policy or procedure showing ownership, approval, and review cadence for control environment.; Operational records (logs, tickets, reports, or dashboards) demonstrating the control is performed consistently.; Evidence of exception handling and remediation tracking when control failures or gaps are identified.

SOC2-Q-001 - control environment cc1 control_environment ethics tone policy owner evidence review log ticket control

tags: control_environment, ethics, tone, soc2, readiness, security | hits: 0

No direct evidence hits for this query.

SOC2-Q-002 - control environment cc1 control_environment ethics tone policy owner evidence review log ticket control

tags: control_environment, ethics, tone, soc2, readiness, security | hits: 0

No direct evidence hits for this query.

SOC2-Q-003 - control environment cc1 control_environment ethics tone policy owner evidence review log ticket control

tags: control_environment, ethics, tone, soc2, readiness, security | hits: 0

No direct evidence hits for this query.

CC1.2 - CC1.2 Control Environment readiness

GAP | severity 5 | evidence_count 0

Demonstrate that control environment is defined, operated, and reviewable with reproducible local evidence.

Expected evidence: Written policy or procedure showing ownership, approval, and review cadence for control environment.; Operational records (logs, tickets, reports, or dashboards) demonstrating the control is performed consistently.; Evidence of exception handling and remediation tracking when control failures or gaps are identified.

SOC2-Q-002 - control environment cc1 control_environment ethics tone policy owner evidence review log ticket control

tags: control_environment, ethics, tone, soc2, readiness, security | hits: 0

No direct evidence hits for this query.

SOC2-Q-003 - control environment cc1 control_environment ethics tone policy owner evidence review log ticket control

tags: control_environment, ethics, tone, soc2, readiness, security | hits: 0

No direct evidence hits for this query.

SOC2-Q-004 - control environment cc1 control_environment ethics tone policy owner evidence review log ticket control

tags: control_environment, ethics, tone, soc2, readiness, security | hits: 0

No direct evidence hits for this query.

CC1.3 - CC1.3 Control Environment readiness

GAP | severity 4 | evidence_count 0

Demonstrate that control environment is defined, operated, and reviewable with reproducible local evidence.

Expected evidence: Written policy or procedure showing ownership, approval, and review cadence for control environment.; Operational records (logs, tickets, reports, or dashboards) demonstrating the control is performed consistently.; Evidence of exception handling and remediation tracking when control failures or gaps are identified.

SOC2-Q-003 - control environment cc1 control_environment ethics tone policy owner evidence review log ticket control

tags: control_environment, ethics, tone, soc2, readiness, security | hits: 0

No direct evidence hits for this query.

SOC2-Q-004 - control environment cc1 control_environment ethics tone policy owner evidence review log ticket control

tags: control_environment, ethics, tone, soc2, readiness, security | hits: 0

No direct evidence hits for this query.

SOC2-Q-001 - control environment cc1 control_environment ethics tone policy owner evidence review log ticket control

tags: control_environment, ethics, tone, soc2, readiness, security | hits: 0

No direct evidence hits for this query.

CC1.4 - CC1.4 Control Environment readiness

GAP | severity 5 | evidence_count 0

Demonstrate that control environment is defined, operated, and reviewable with reproducible local evidence.

Expected evidence: Written policy or procedure showing ownership, approval, and review cadence for control environment.; Operational records (logs, tickets, reports, or dashboards) demonstrating the control is performed consistently.; Evidence of exception handling and remediation tracking when control failures or gaps are identified.

SOC2-Q-004 - control environment cc1 control_environment ethics tone policy owner evidence review log ticket control

tags: control_environment, ethics, tone, soc2, readiness, security | hits: 0

No direct evidence hits for this query.

SOC2-Q-001 - control environment cc1 control_environment ethics tone policy owner evidence review log ticket control

tags: control_environment, ethics, tone, soc2, readiness, security | hits: 0

No direct evidence hits for this query.

SOC2-Q-002 - control environment cc1 control_environment ethics tone policy owner evidence review log ticket control

tags: control_environment, ethics, tone, soc2, readiness, security | hits: 0

No direct evidence hits for this query.

CC1.5 - CC1.5 Control Environment readiness

GAP | severity 4 | evidence_count 0

Demonstrate that control environment is defined, operated, and reviewable with reproducible local evidence.

Expected evidence: Written policy or procedure showing ownership, approval, and review cadence for control environment.; Operational records (logs, tickets, reports, or dashboards) demonstrating the control is performed consistently.; Evidence of exception handling and remediation tracking when control failures or gaps are identified.

SOC2-Q-001 - control environment cc1 control_environment ethics tone policy owner evidence review log ticket control

tags: control_environment, ethics, tone, soc2, readiness, security | hits: 0

No direct evidence hits for this query.

SOC2-Q-002 - control environment cc1 control_environment ethics tone policy owner evidence review log ticket control

tags: control_environment, ethics, tone, soc2, readiness, security | hits: 0

No direct evidence hits for this query.

SOC2-Q-003 - control environment cc1 control_environment ethics tone policy owner evidence review log ticket control

tags: control_environment, ethics, tone, soc2, readiness, security | hits: 0

No direct evidence hits for this query.

CC2.1 - CC2.1 Communication and Information readiness

GAP | severity 4 | evidence_count 0

Demonstrate that communication and information is defined, operated, and reviewable with reproducible local evidence.

Expected evidence: Written policy or procedure showing ownership, approval, and review cadence for communication and information.; Operational records (logs, tickets, reports, or dashboards) demonstrating the control is performed consistently.; Evidence of exception handling and remediation tracking when control failures or gaps are identified.

SOC2-Q-005 - communication and information cc2 communication reporting governance policy owner evidence review log ticket control

tags: communication, reporting, governance, soc2, readiness, security | hits: 0

No direct evidence hits for this query.

SOC2-Q-006 - communication and information cc2 communication reporting governance policy owner evidence review log ticket control

tags: communication, reporting, governance, soc2, readiness, security | hits: 0

No direct evidence hits for this query.

SOC2-Q-007 - communication and information cc2 communication reporting governance policy owner evidence review log ticket control

tags: communication, reporting, governance, soc2, readiness, security | hits: 0

No direct evidence hits for this query.

CC2.2 - CC2.2 Communication and Information readiness

GAP | severity 5 | evidence_count 0

Demonstrate that communication and information is defined, operated, and reviewable with reproducible local evidence.

Expected evidence: Written policy or procedure showing ownership, approval, and review cadence for communication and information.; Operational records (logs, tickets, reports, or dashboards) demonstrating the control is performed consistently.; Evidence of exception handling and remediation tracking when control failures or gaps are identified.

SOC2-Q-006 - communication and information cc2 communication reporting governance policy owner evidence review log ticket control

tags: communication, reporting, governance, soc2, readiness, security | hits: 0

No direct evidence hits for this query.

SOC2-Q-007 - communication and information cc2 communication reporting governance policy owner evidence review log ticket control

tags: communication, reporting, governance, soc2, readiness, security | hits: 0

No direct evidence hits for this query.

SOC2-Q-008 - communication and information cc2 communication reporting governance policy owner evidence review log ticket control

tags: communication, reporting, governance, soc2, readiness, security | hits: 0

No direct evidence hits for this query.

CC2.3 - CC2.3 Communication and Information readiness

GAP | severity 4 | evidence_count 0

Demonstrate that communication and information is defined, operated, and reviewable with reproducible local evidence.

Expected evidence: Written policy or procedure showing ownership, approval, and review cadence for communication and information.; Operational records (logs, tickets, reports, or dashboards) demonstrating the control is performed consistently.; Evidence of exception handling and remediation tracking when control failures or gaps are identified.

SOC2-Q-007 - communication and information cc2 communication reporting governance policy owner evidence review log ticket control

tags: communication, reporting, governance, soc2, readiness, security | hits: 0

No direct evidence hits for this query.

SOC2-Q-008 - communication and information cc2 communication reporting governance policy owner evidence review log ticket control

tags: communication, reporting, governance, soc2, readiness, security | hits: 0

No direct evidence hits for this query.

SOC2-Q-005 - communication and information cc2 communication reporting governance policy owner evidence review log ticket control

tags: communication, reporting, governance, soc2, readiness, security | hits: 0

No direct evidence hits for this query.

CC2.4 - CC2.4 Communication and Information readiness

GAP | severity 5 | evidence_count 0

Demonstrate that communication and information is defined, operated, and reviewable with reproducible local evidence.

Expected evidence: Written policy or procedure showing ownership, approval, and review cadence for communication and information.; Operational records (logs, tickets, reports, or dashboards) demonstrating the control is performed consistently.; Evidence of exception handling and remediation tracking when control failures or gaps are identified.

SOC2-Q-008 - communication and information cc2 communication reporting governance policy owner evidence review log ticket control

tags: communication, reporting, governance, soc2, readiness, security | hits: 0

No direct evidence hits for this query.

SOC2-Q-005 - communication and information cc2 communication reporting governance policy owner evidence review log ticket control

tags: communication, reporting, governance, soc2, readiness, security | hits: 0

No direct evidence hits for this query.

SOC2-Q-006 - communication and information cc2 communication reporting governance policy owner evidence review log ticket control

tags: communication, reporting, governance, soc2, readiness, security | hits: 0

No direct evidence hits for this query.

CC2.5 - CC2.5 Communication and Information readiness

GAP | severity 4 | evidence_count 0

Demonstrate that communication and information is defined, operated, and reviewable with reproducible local evidence.

Expected evidence: Written policy or procedure showing ownership, approval, and review cadence for communication and information.; Operational records (logs, tickets, reports, or dashboards) demonstrating the control is performed consistently.; Evidence of exception handling and remediation tracking when control failures or gaps are identified.

SOC2-Q-005 - communication and information cc2 communication reporting governance policy owner evidence review log ticket control

tags: communication, reporting, governance, soc2, readiness, security | hits: 0

No direct evidence hits for this query.

SOC2-Q-006 - communication and information cc2 communication reporting governance policy owner evidence review log ticket control

tags: communication, reporting, governance, soc2, readiness, security | hits: 0

No direct evidence hits for this query.

SOC2-Q-007 - communication and information cc2 communication reporting governance policy owner evidence review log ticket control

tags: communication, reporting, governance, soc2, readiness, security | hits: 0

No direct evidence hits for this query.

CC3.1 - CC3.1 Risk Assessment readiness

GAP | severity 4 | evidence_count 0

Demonstrate that risk assessment is defined, operated, and reviewable with reproducible local evidence.

Expected evidence: Written policy or procedure showing ownership, approval, and review cadence for risk assessment.; Operational records (logs, tickets, reports, or dashboards) demonstrating the control is performed consistently.; Evidence of exception handling and remediation tracking when control failures or gaps are identified.

SOC2-Q-009 - risk assessment cc3 risk_assessment threat_modeling enterprise_risk policy owner evidence review log ticket control

tags: risk_assessment, threat_modeling, enterprise_risk, soc2, readiness, security | hits: 0

No direct evidence hits for this query.

SOC2-Q-010 - risk assessment cc3 risk_assessment threat_modeling enterprise_risk policy owner evidence review log ticket control

tags: risk_assessment, threat_modeling, enterprise_risk, soc2, readiness, security | hits: 0

No direct evidence hits for this query.

SOC2-Q-011 - risk assessment cc3 risk_assessment threat_modeling enterprise_risk policy owner evidence review log ticket control

tags: risk_assessment, threat_modeling, enterprise_risk, soc2, readiness, security | hits: 0

No direct evidence hits for this query.

CC3.2 - CC3.2 Risk Assessment readiness

GAP | severity 5 | evidence_count 0

Demonstrate that risk assessment is defined, operated, and reviewable with reproducible local evidence.

Expected evidence: Written policy or procedure showing ownership, approval, and review cadence for risk assessment.; Operational records (logs, tickets, reports, or dashboards) demonstrating the control is performed consistently.; Evidence of exception handling and remediation tracking when control failures or gaps are identified.

SOC2-Q-010 - risk assessment cc3 risk_assessment threat_modeling enterprise_risk policy owner evidence review log ticket control

tags: risk_assessment, threat_modeling, enterprise_risk, soc2, readiness, security | hits: 0

No direct evidence hits for this query.

SOC2-Q-011 - risk assessment cc3 risk_assessment threat_modeling enterprise_risk policy owner evidence review log ticket control

tags: risk_assessment, threat_modeling, enterprise_risk, soc2, readiness, security | hits: 0

No direct evidence hits for this query.

SOC2-Q-012 - risk assessment cc3 risk_assessment threat_modeling enterprise_risk policy owner evidence review log ticket control

tags: risk_assessment, threat_modeling, enterprise_risk, soc2, readiness, security | hits: 0

No direct evidence hits for this query.

CC3.3 - CC3.3 Risk Assessment readiness

GAP | severity 4 | evidence_count 0

Demonstrate that risk assessment is defined, operated, and reviewable with reproducible local evidence.

Expected evidence: Written policy or procedure showing ownership, approval, and review cadence for risk assessment.; Operational records (logs, tickets, reports, or dashboards) demonstrating the control is performed consistently.; Evidence of exception handling and remediation tracking when control failures or gaps are identified.

SOC2-Q-011 - risk assessment cc3 risk_assessment threat_modeling enterprise_risk policy owner evidence review log ticket control

tags: risk_assessment, threat_modeling, enterprise_risk, soc2, readiness, security | hits: 0

No direct evidence hits for this query.

SOC2-Q-012 - risk assessment cc3 risk_assessment threat_modeling enterprise_risk policy owner evidence review log ticket control

tags: risk_assessment, threat_modeling, enterprise_risk, soc2, readiness, security | hits: 0

No direct evidence hits for this query.

SOC2-Q-009 - risk assessment cc3 risk_assessment threat_modeling enterprise_risk policy owner evidence review log ticket control

tags: risk_assessment, threat_modeling, enterprise_risk, soc2, readiness, security | hits: 0

No direct evidence hits for this query.

CC3.4 - CC3.4 Risk Assessment readiness

GAP | severity 5 | evidence_count 0

Demonstrate that risk assessment is defined, operated, and reviewable with reproducible local evidence.

Expected evidence: Written policy or procedure showing ownership, approval, and review cadence for risk assessment.; Operational records (logs, tickets, reports, or dashboards) demonstrating the control is performed consistently.; Evidence of exception handling and remediation tracking when control failures or gaps are identified.

SOC2-Q-012 - risk assessment cc3 risk_assessment threat_modeling enterprise_risk policy owner evidence review log ticket control

tags: risk_assessment, threat_modeling, enterprise_risk, soc2, readiness, security | hits: 0

No direct evidence hits for this query.

SOC2-Q-009 - risk assessment cc3 risk_assessment threat_modeling enterprise_risk policy owner evidence review log ticket control

tags: risk_assessment, threat_modeling, enterprise_risk, soc2, readiness, security | hits: 0

No direct evidence hits for this query.

SOC2-Q-010 - risk assessment cc3 risk_assessment threat_modeling enterprise_risk policy owner evidence review log ticket control

tags: risk_assessment, threat_modeling, enterprise_risk, soc2, readiness, security | hits: 0

No direct evidence hits for this query.

CC3.5 - CC3.5 Risk Assessment readiness

GAP | severity 4 | evidence_count 0

Demonstrate that risk assessment is defined, operated, and reviewable with reproducible local evidence.

Expected evidence: Written policy or procedure showing ownership, approval, and review cadence for risk assessment.; Operational records (logs, tickets, reports, or dashboards) demonstrating the control is performed consistently.; Evidence of exception handling and remediation tracking when control failures or gaps are identified.

SOC2-Q-009 - risk assessment cc3 risk_assessment threat_modeling enterprise_risk policy owner evidence review log ticket control

tags: risk_assessment, threat_modeling, enterprise_risk, soc2, readiness, security | hits: 0

No direct evidence hits for this query.

SOC2-Q-010 - risk assessment cc3 risk_assessment threat_modeling enterprise_risk policy owner evidence review log ticket control

tags: risk_assessment, threat_modeling, enterprise_risk, soc2, readiness, security | hits: 0

No direct evidence hits for this query.

SOC2-Q-011 - risk assessment cc3 risk_assessment threat_modeling enterprise_risk policy owner evidence review log ticket control

tags: risk_assessment, threat_modeling, enterprise_risk, soc2, readiness, security | hits: 0

No direct evidence hits for this query.

CC4.1 - CC4.1 Monitoring Activities readiness

GAP | severity 4 | evidence_count 0

Demonstrate that monitoring activities is defined, operated, and reviewable with reproducible local evidence.

Expected evidence: Written policy or procedure showing ownership, approval, and review cadence for monitoring activities.; Operational records (logs, tickets, reports, or dashboards) demonstrating the control is performed consistently.; Evidence of exception handling and remediation tracking when control failures or gaps are identified.

SOC2-Q-013 - monitoring activities cc4 monitoring metrics audit policy owner evidence review log ticket control

tags: monitoring, metrics, audit, soc2, readiness, security | hits: 0

No direct evidence hits for this query.

SOC2-Q-014 - monitoring activities cc4 monitoring metrics audit policy owner evidence review log ticket control

tags: monitoring, metrics, audit, soc2, readiness, security | hits: 0

No direct evidence hits for this query.

SOC2-Q-015 - monitoring activities cc4 monitoring metrics audit policy owner evidence review log ticket control

tags: monitoring, metrics, audit, soc2, readiness, security | hits: 0

No direct evidence hits for this query.

CC4.2 - CC4.2 Monitoring Activities readiness

GAP | severity 5 | evidence_count 0

Demonstrate that monitoring activities is defined, operated, and reviewable with reproducible local evidence.

Expected evidence: Written policy or procedure showing ownership, approval, and review cadence for monitoring activities.; Operational records (logs, tickets, reports, or dashboards) demonstrating the control is performed consistently.; Evidence of exception handling and remediation tracking when control failures or gaps are identified.

SOC2-Q-014 - monitoring activities cc4 monitoring metrics audit policy owner evidence review log ticket control

tags: monitoring, metrics, audit, soc2, readiness, security | hits: 0

No direct evidence hits for this query.

SOC2-Q-015 - monitoring activities cc4 monitoring metrics audit policy owner evidence review log ticket control

tags: monitoring, metrics, audit, soc2, readiness, security | hits: 0

No direct evidence hits for this query.

SOC2-Q-016 - monitoring activities cc4 monitoring metrics audit policy owner evidence review log ticket control

tags: monitoring, metrics, audit, soc2, readiness, security | hits: 0

No direct evidence hits for this query.

CC4.3 - CC4.3 Monitoring Activities readiness

GAP | severity 4 | evidence_count 0

Demonstrate that monitoring activities is defined, operated, and reviewable with reproducible local evidence.

Expected evidence: Written policy or procedure showing ownership, approval, and review cadence for monitoring activities.; Operational records (logs, tickets, reports, or dashboards) demonstrating the control is performed consistently.; Evidence of exception handling and remediation tracking when control failures or gaps are identified.

SOC2-Q-015 - monitoring activities cc4 monitoring metrics audit policy owner evidence review log ticket control

tags: monitoring, metrics, audit, soc2, readiness, security | hits: 0

No direct evidence hits for this query.

SOC2-Q-016 - monitoring activities cc4 monitoring metrics audit policy owner evidence review log ticket control

tags: monitoring, metrics, audit, soc2, readiness, security | hits: 0

No direct evidence hits for this query.

SOC2-Q-013 - monitoring activities cc4 monitoring metrics audit policy owner evidence review log ticket control

tags: monitoring, metrics, audit, soc2, readiness, security | hits: 0

No direct evidence hits for this query.

CC4.4 - CC4.4 Monitoring Activities readiness

GAP | severity 5 | evidence_count 0

Demonstrate that monitoring activities is defined, operated, and reviewable with reproducible local evidence.

Expected evidence: Written policy or procedure showing ownership, approval, and review cadence for monitoring activities.; Operational records (logs, tickets, reports, or dashboards) demonstrating the control is performed consistently.; Evidence of exception handling and remediation tracking when control failures or gaps are identified.

SOC2-Q-016 - monitoring activities cc4 monitoring metrics audit policy owner evidence review log ticket control

tags: monitoring, metrics, audit, soc2, readiness, security | hits: 0

No direct evidence hits for this query.

SOC2-Q-013 - monitoring activities cc4 monitoring metrics audit policy owner evidence review log ticket control

tags: monitoring, metrics, audit, soc2, readiness, security | hits: 0

No direct evidence hits for this query.

SOC2-Q-014 - monitoring activities cc4 monitoring metrics audit policy owner evidence review log ticket control

tags: monitoring, metrics, audit, soc2, readiness, security | hits: 0

No direct evidence hits for this query.

CC4.5 - CC4.5 Monitoring Activities readiness

GAP | severity 4 | evidence_count 0

Demonstrate that monitoring activities is defined, operated, and reviewable with reproducible local evidence.

Expected evidence: Written policy or procedure showing ownership, approval, and review cadence for monitoring activities.; Operational records (logs, tickets, reports, or dashboards) demonstrating the control is performed consistently.; Evidence of exception handling and remediation tracking when control failures or gaps are identified.

SOC2-Q-013 - monitoring activities cc4 monitoring metrics audit policy owner evidence review log ticket control

tags: monitoring, metrics, audit, soc2, readiness, security | hits: 0

No direct evidence hits for this query.

SOC2-Q-014 - monitoring activities cc4 monitoring metrics audit policy owner evidence review log ticket control

tags: monitoring, metrics, audit, soc2, readiness, security | hits: 0

No direct evidence hits for this query.

SOC2-Q-015 - monitoring activities cc4 monitoring metrics audit policy owner evidence review log ticket control

tags: monitoring, metrics, audit, soc2, readiness, security | hits: 0

No direct evidence hits for this query.

CC5.1 - CC5.1 Control Activities readiness

GAP | severity 4 | evidence_count 0

Demonstrate that control activities is defined, operated, and reviewable with reproducible local evidence.

Expected evidence: Written policy or procedure showing ownership, approval, and review cadence for control activities.; Operational records (logs, tickets, reports, or dashboards) demonstrating the control is performed consistently.; Evidence of exception handling and remediation tracking when control failures or gaps are identified.

SOC2-Q-017 - control activities cc5 control_activities review segregation policy owner evidence review log ticket control

tags: control_activities, review, segregation, soc2, readiness, security | hits: 0

No direct evidence hits for this query.

SOC2-Q-018 - control activities cc5 control_activities review segregation policy owner evidence review log ticket control

tags: control_activities, review, segregation, soc2, readiness, security | hits: 0

No direct evidence hits for this query.

SOC2-Q-019 - control activities cc5 control_activities review segregation policy owner evidence review log ticket control

tags: control_activities, review, segregation, soc2, readiness, security | hits: 0

No direct evidence hits for this query.

CC5.2 - CC5.2 Control Activities readiness

GAP | severity 5 | evidence_count 0

Demonstrate that control activities is defined, operated, and reviewable with reproducible local evidence.

Expected evidence: Written policy or procedure showing ownership, approval, and review cadence for control activities.; Operational records (logs, tickets, reports, or dashboards) demonstrating the control is performed consistently.; Evidence of exception handling and remediation tracking when control failures or gaps are identified.

SOC2-Q-018 - control activities cc5 control_activities review segregation policy owner evidence review log ticket control

tags: control_activities, review, segregation, soc2, readiness, security | hits: 0

No direct evidence hits for this query.

SOC2-Q-019 - control activities cc5 control_activities review segregation policy owner evidence review log ticket control

tags: control_activities, review, segregation, soc2, readiness, security | hits: 0

No direct evidence hits for this query.

SOC2-Q-020 - control activities cc5 control_activities review segregation policy owner evidence review log ticket control

tags: control_activities, review, segregation, soc2, readiness, security | hits: 0

No direct evidence hits for this query.

CC5.3 - CC5.3 Control Activities readiness

GAP | severity 4 | evidence_count 0

Demonstrate that control activities is defined, operated, and reviewable with reproducible local evidence.

Expected evidence: Written policy or procedure showing ownership, approval, and review cadence for control activities.; Operational records (logs, tickets, reports, or dashboards) demonstrating the control is performed consistently.; Evidence of exception handling and remediation tracking when control failures or gaps are identified.

SOC2-Q-019 - control activities cc5 control_activities review segregation policy owner evidence review log ticket control

tags: control_activities, review, segregation, soc2, readiness, security | hits: 0

No direct evidence hits for this query.

SOC2-Q-020 - control activities cc5 control_activities review segregation policy owner evidence review log ticket control

tags: control_activities, review, segregation, soc2, readiness, security | hits: 0

No direct evidence hits for this query.

SOC2-Q-017 - control activities cc5 control_activities review segregation policy owner evidence review log ticket control

tags: control_activities, review, segregation, soc2, readiness, security | hits: 0

No direct evidence hits for this query.

CC5.4 - CC5.4 Control Activities readiness

GAP | severity 5 | evidence_count 0

Demonstrate that control activities is defined, operated, and reviewable with reproducible local evidence.

Expected evidence: Written policy or procedure showing ownership, approval, and review cadence for control activities.; Operational records (logs, tickets, reports, or dashboards) demonstrating the control is performed consistently.; Evidence of exception handling and remediation tracking when control failures or gaps are identified.

SOC2-Q-020 - control activities cc5 control_activities review segregation policy owner evidence review log ticket control

tags: control_activities, review, segregation, soc2, readiness, security | hits: 0

No direct evidence hits for this query.

SOC2-Q-017 - control activities cc5 control_activities review segregation policy owner evidence review log ticket control

tags: control_activities, review, segregation, soc2, readiness, security | hits: 0

No direct evidence hits for this query.

SOC2-Q-018 - control activities cc5 control_activities review segregation policy owner evidence review log ticket control

tags: control_activities, review, segregation, soc2, readiness, security | hits: 0

No direct evidence hits for this query.

CC5.5 - CC5.5 Control Activities readiness

GAP | severity 4 | evidence_count 0

Demonstrate that control activities is defined, operated, and reviewable with reproducible local evidence.

Expected evidence: Written policy or procedure showing ownership, approval, and review cadence for control activities.; Operational records (logs, tickets, reports, or dashboards) demonstrating the control is performed consistently.; Evidence of exception handling and remediation tracking when control failures or gaps are identified.

SOC2-Q-017 - control activities cc5 control_activities review segregation policy owner evidence review log ticket control

tags: control_activities, review, segregation, soc2, readiness, security | hits: 0

No direct evidence hits for this query.

SOC2-Q-018 - control activities cc5 control_activities review segregation policy owner evidence review log ticket control

tags: control_activities, review, segregation, soc2, readiness, security | hits: 0

No direct evidence hits for this query.

SOC2-Q-019 - control activities cc5 control_activities review segregation policy owner evidence review log ticket control

tags: control_activities, review, segregation, soc2, readiness, security | hits: 0

No direct evidence hits for this query.

CC6.1 - CC6.1 Logical and Physical Access readiness

GAP | severity 4 | evidence_count 0

Demonstrate that logical and physical access is defined, operated, and reviewable with reproducible local evidence.

Expected evidence: Written policy or procedure showing ownership, approval, and review cadence for logical and physical access.; Operational records (logs, tickets, reports, or dashboards) demonstrating the control is performed consistently.; Evidence of exception handling and remediation tracking when control failures or gaps are identified.

SOC2-Q-021 - logical and physical access cc6 logical_access identity mfa policy owner evidence review log ticket control

tags: logical_access, identity, mfa, soc2, readiness, security | hits: 0

No direct evidence hits for this query.

SOC2-Q-022 - logical and physical access cc6 logical_access identity mfa policy owner evidence review log ticket control

tags: logical_access, identity, mfa, soc2, readiness, security | hits: 0

No direct evidence hits for this query.

SOC2-Q-023 - logical and physical access cc6 logical_access identity mfa policy owner evidence review log ticket control

tags: logical_access, identity, mfa, soc2, readiness, security | hits: 0

No direct evidence hits for this query.

CC6.2 - CC6.2 Logical and Physical Access readiness

GAP | severity 5 | evidence_count 0

Demonstrate that logical and physical access is defined, operated, and reviewable with reproducible local evidence.

Expected evidence: Written policy or procedure showing ownership, approval, and review cadence for logical and physical access.; Operational records (logs, tickets, reports, or dashboards) demonstrating the control is performed consistently.; Evidence of exception handling and remediation tracking when control failures or gaps are identified.

SOC2-Q-022 - logical and physical access cc6 logical_access identity mfa policy owner evidence review log ticket control

tags: logical_access, identity, mfa, soc2, readiness, security | hits: 0

No direct evidence hits for this query.

SOC2-Q-023 - logical and physical access cc6 logical_access identity mfa policy owner evidence review log ticket control

tags: logical_access, identity, mfa, soc2, readiness, security | hits: 0

No direct evidence hits for this query.

SOC2-Q-024 - logical and physical access cc6 logical_access identity mfa policy owner evidence review log ticket control

tags: logical_access, identity, mfa, soc2, readiness, security | hits: 0

No direct evidence hits for this query.

CC6.3 - CC6.3 Logical and Physical Access readiness

GAP | severity 4 | evidence_count 0

Demonstrate that logical and physical access is defined, operated, and reviewable with reproducible local evidence.

Expected evidence: Written policy or procedure showing ownership, approval, and review cadence for logical and physical access.; Operational records (logs, tickets, reports, or dashboards) demonstrating the control is performed consistently.; Evidence of exception handling and remediation tracking when control failures or gaps are identified.

SOC2-Q-023 - logical and physical access cc6 logical_access identity mfa policy owner evidence review log ticket control

tags: logical_access, identity, mfa, soc2, readiness, security | hits: 0

No direct evidence hits for this query.

SOC2-Q-024 - logical and physical access cc6 logical_access identity mfa policy owner evidence review log ticket control

tags: logical_access, identity, mfa, soc2, readiness, security | hits: 0

No direct evidence hits for this query.

SOC2-Q-021 - logical and physical access cc6 logical_access identity mfa policy owner evidence review log ticket control

tags: logical_access, identity, mfa, soc2, readiness, security | hits: 0

No direct evidence hits for this query.

CC6.4 - CC6.4 Logical and Physical Access readiness

GAP | severity 5 | evidence_count 0

Demonstrate that logical and physical access is defined, operated, and reviewable with reproducible local evidence.

Expected evidence: Written policy or procedure showing ownership, approval, and review cadence for logical and physical access.; Operational records (logs, tickets, reports, or dashboards) demonstrating the control is performed consistently.; Evidence of exception handling and remediation tracking when control failures or gaps are identified.

SOC2-Q-024 - logical and physical access cc6 logical_access identity mfa policy owner evidence review log ticket control

tags: logical_access, identity, mfa, soc2, readiness, security | hits: 0

No direct evidence hits for this query.

SOC2-Q-021 - logical and physical access cc6 logical_access identity mfa policy owner evidence review log ticket control

tags: logical_access, identity, mfa, soc2, readiness, security | hits: 0

No direct evidence hits for this query.

SOC2-Q-022 - logical and physical access cc6 logical_access identity mfa policy owner evidence review log ticket control

tags: logical_access, identity, mfa, soc2, readiness, security | hits: 0

No direct evidence hits for this query.

CC6.5 - CC6.5 Logical and Physical Access readiness

GAP | severity 4 | evidence_count 0

Demonstrate that logical and physical access is defined, operated, and reviewable with reproducible local evidence.

Expected evidence: Written policy or procedure showing ownership, approval, and review cadence for logical and physical access.; Operational records (logs, tickets, reports, or dashboards) demonstrating the control is performed consistently.; Evidence of exception handling and remediation tracking when control failures or gaps are identified.

SOC2-Q-021 - logical and physical access cc6 logical_access identity mfa policy owner evidence review log ticket control

tags: logical_access, identity, mfa, soc2, readiness, security | hits: 0

No direct evidence hits for this query.

SOC2-Q-022 - logical and physical access cc6 logical_access identity mfa policy owner evidence review log ticket control

tags: logical_access, identity, mfa, soc2, readiness, security | hits: 0

No direct evidence hits for this query.

SOC2-Q-023 - logical and physical access cc6 logical_access identity mfa policy owner evidence review log ticket control

tags: logical_access, identity, mfa, soc2, readiness, security | hits: 0

No direct evidence hits for this query.

CC7.1 - CC7.1 System Operations readiness

GAP | severity 4 | evidence_count 0

Demonstrate that system operations is defined, operated, and reviewable with reproducible local evidence.

Expected evidence: Written policy or procedure showing ownership, approval, and review cadence for system operations.; Operational records (logs, tickets, reports, or dashboards) demonstrating the control is performed consistently.; Evidence of exception handling and remediation tracking when control failures or gaps are identified.

SOC2-Q-025 - system operations cc7 system_operations logging alerting policy owner evidence review log ticket control

tags: system_operations, logging, alerting, soc2, readiness, security | hits: 0

No direct evidence hits for this query.

SOC2-Q-026 - system operations cc7 system_operations logging alerting policy owner evidence review log ticket control

tags: system_operations, logging, alerting, soc2, readiness, security | hits: 0

No direct evidence hits for this query.

SOC2-Q-027 - system operations cc7 system_operations logging alerting policy owner evidence review log ticket control

tags: system_operations, logging, alerting, soc2, readiness, security | hits: 0

No direct evidence hits for this query.

CC7.2 - CC7.2 System Operations readiness

GAP | severity 5 | evidence_count 0

Demonstrate that system operations is defined, operated, and reviewable with reproducible local evidence.

Expected evidence: Written policy or procedure showing ownership, approval, and review cadence for system operations.; Operational records (logs, tickets, reports, or dashboards) demonstrating the control is performed consistently.; Evidence of exception handling and remediation tracking when control failures or gaps are identified.

SOC2-Q-026 - system operations cc7 system_operations logging alerting policy owner evidence review log ticket control

tags: system_operations, logging, alerting, soc2, readiness, security | hits: 0

No direct evidence hits for this query.

SOC2-Q-027 - system operations cc7 system_operations logging alerting policy owner evidence review log ticket control

tags: system_operations, logging, alerting, soc2, readiness, security | hits: 0

No direct evidence hits for this query.

SOC2-Q-028 - system operations cc7 system_operations logging alerting policy owner evidence review log ticket control

tags: system_operations, logging, alerting, soc2, readiness, security | hits: 0

No direct evidence hits for this query.

CC7.3 - CC7.3 System Operations readiness

GAP | severity 4 | evidence_count 0

Demonstrate that system operations is defined, operated, and reviewable with reproducible local evidence.

Expected evidence: Written policy or procedure showing ownership, approval, and review cadence for system operations.; Operational records (logs, tickets, reports, or dashboards) demonstrating the control is performed consistently.; Evidence of exception handling and remediation tracking when control failures or gaps are identified.

SOC2-Q-027 - system operations cc7 system_operations logging alerting policy owner evidence review log ticket control

tags: system_operations, logging, alerting, soc2, readiness, security | hits: 0

No direct evidence hits for this query.

SOC2-Q-028 - system operations cc7 system_operations logging alerting policy owner evidence review log ticket control

tags: system_operations, logging, alerting, soc2, readiness, security | hits: 0

No direct evidence hits for this query.

SOC2-Q-025 - system operations cc7 system_operations logging alerting policy owner evidence review log ticket control

tags: system_operations, logging, alerting, soc2, readiness, security | hits: 0

No direct evidence hits for this query.

CC7.4 - CC7.4 System Operations readiness

GAP | severity 5 | evidence_count 0

Demonstrate that system operations is defined, operated, and reviewable with reproducible local evidence.

Expected evidence: Written policy or procedure showing ownership, approval, and review cadence for system operations.; Operational records (logs, tickets, reports, or dashboards) demonstrating the control is performed consistently.; Evidence of exception handling and remediation tracking when control failures or gaps are identified.

SOC2-Q-028 - system operations cc7 system_operations logging alerting policy owner evidence review log ticket control

tags: system_operations, logging, alerting, soc2, readiness, security | hits: 0

No direct evidence hits for this query.

SOC2-Q-025 - system operations cc7 system_operations logging alerting policy owner evidence review log ticket control

tags: system_operations, logging, alerting, soc2, readiness, security | hits: 0

No direct evidence hits for this query.

SOC2-Q-026 - system operations cc7 system_operations logging alerting policy owner evidence review log ticket control

tags: system_operations, logging, alerting, soc2, readiness, security | hits: 0

No direct evidence hits for this query.

CC7.5 - CC7.5 System Operations readiness

GAP | severity 4 | evidence_count 0

Demonstrate that system operations is defined, operated, and reviewable with reproducible local evidence.

Expected evidence: Written policy or procedure showing ownership, approval, and review cadence for system operations.; Operational records (logs, tickets, reports, or dashboards) demonstrating the control is performed consistently.; Evidence of exception handling and remediation tracking when control failures or gaps are identified.

SOC2-Q-025 - system operations cc7 system_operations logging alerting policy owner evidence review log ticket control

tags: system_operations, logging, alerting, soc2, readiness, security | hits: 0

No direct evidence hits for this query.

SOC2-Q-026 - system operations cc7 system_operations logging alerting policy owner evidence review log ticket control

tags: system_operations, logging, alerting, soc2, readiness, security | hits: 0

No direct evidence hits for this query.

SOC2-Q-027 - system operations cc7 system_operations logging alerting policy owner evidence review log ticket control

tags: system_operations, logging, alerting, soc2, readiness, security | hits: 0

No direct evidence hits for this query.

CC8.1 - CC8.1 Change Management readiness

GAP | severity 4 | evidence_count 0

Demonstrate that change management is defined, operated, and reviewable with reproducible local evidence.

Expected evidence: Written policy or procedure showing ownership, approval, and review cadence for change management.; Operational records (logs, tickets, reports, or dashboards) demonstrating the control is performed consistently.; Evidence of exception handling and remediation tracking when control failures or gaps are identified.

SOC2-Q-029 - change management cc8 change_management sdlc release policy owner evidence review log ticket control

tags: change_management, sdlc, release, soc2, readiness, security | hits: 0

No direct evidence hits for this query.

SOC2-Q-030 - change management cc8 change_management sdlc release policy owner evidence review log ticket control

tags: change_management, sdlc, release, soc2, readiness, security | hits: 0

No direct evidence hits for this query.

SOC2-Q-031 - change management cc8 change_management sdlc release policy owner evidence review log ticket control

tags: change_management, sdlc, release, soc2, readiness, security | hits: 0

No direct evidence hits for this query.

CC8.2 - CC8.2 Change Management readiness

GAP | severity 5 | evidence_count 0

Demonstrate that change management is defined, operated, and reviewable with reproducible local evidence.

Expected evidence: Written policy or procedure showing ownership, approval, and review cadence for change management.; Operational records (logs, tickets, reports, or dashboards) demonstrating the control is performed consistently.; Evidence of exception handling and remediation tracking when control failures or gaps are identified.

SOC2-Q-030 - change management cc8 change_management sdlc release policy owner evidence review log ticket control

tags: change_management, sdlc, release, soc2, readiness, security | hits: 0

No direct evidence hits for this query.

SOC2-Q-031 - change management cc8 change_management sdlc release policy owner evidence review log ticket control

tags: change_management, sdlc, release, soc2, readiness, security | hits: 0

No direct evidence hits for this query.

SOC2-Q-032 - change management cc8 change_management sdlc release policy owner evidence review log ticket control

tags: change_management, sdlc, release, soc2, readiness, security | hits: 0

No direct evidence hits for this query.

CC8.3 - CC8.3 Change Management readiness

GAP | severity 4 | evidence_count 0

Demonstrate that change management is defined, operated, and reviewable with reproducible local evidence.

Expected evidence: Written policy or procedure showing ownership, approval, and review cadence for change management.; Operational records (logs, tickets, reports, or dashboards) demonstrating the control is performed consistently.; Evidence of exception handling and remediation tracking when control failures or gaps are identified.

SOC2-Q-031 - change management cc8 change_management sdlc release policy owner evidence review log ticket control

tags: change_management, sdlc, release, soc2, readiness, security | hits: 0

No direct evidence hits for this query.

SOC2-Q-032 - change management cc8 change_management sdlc release policy owner evidence review log ticket control

tags: change_management, sdlc, release, soc2, readiness, security | hits: 0

No direct evidence hits for this query.

SOC2-Q-029 - change management cc8 change_management sdlc release policy owner evidence review log ticket control

tags: change_management, sdlc, release, soc2, readiness, security | hits: 0

No direct evidence hits for this query.

CC8.4 - CC8.4 Change Management readiness

GAP | severity 5 | evidence_count 0

Demonstrate that change management is defined, operated, and reviewable with reproducible local evidence.

Expected evidence: Written policy or procedure showing ownership, approval, and review cadence for change management.; Operational records (logs, tickets, reports, or dashboards) demonstrating the control is performed consistently.; Evidence of exception handling and remediation tracking when control failures or gaps are identified.

SOC2-Q-032 - change management cc8 change_management sdlc release policy owner evidence review log ticket control

tags: change_management, sdlc, release, soc2, readiness, security | hits: 0

No direct evidence hits for this query.

SOC2-Q-029 - change management cc8 change_management sdlc release policy owner evidence review log ticket control

tags: change_management, sdlc, release, soc2, readiness, security | hits: 0

No direct evidence hits for this query.

SOC2-Q-030 - change management cc8 change_management sdlc release policy owner evidence review log ticket control

tags: change_management, sdlc, release, soc2, readiness, security | hits: 0

No direct evidence hits for this query.

CC8.5 - CC8.5 Change Management readiness

GAP | severity 4 | evidence_count 0

Demonstrate that change management is defined, operated, and reviewable with reproducible local evidence.

Expected evidence: Written policy or procedure showing ownership, approval, and review cadence for change management.; Operational records (logs, tickets, reports, or dashboards) demonstrating the control is performed consistently.; Evidence of exception handling and remediation tracking when control failures or gaps are identified.

SOC2-Q-029 - change management cc8 change_management sdlc release policy owner evidence review log ticket control

tags: change_management, sdlc, release, soc2, readiness, security | hits: 0

No direct evidence hits for this query.

SOC2-Q-030 - change management cc8 change_management sdlc release policy owner evidence review log ticket control

tags: change_management, sdlc, release, soc2, readiness, security | hits: 0

No direct evidence hits for this query.

SOC2-Q-031 - change management cc8 change_management sdlc release policy owner evidence review log ticket control

tags: change_management, sdlc, release, soc2, readiness, security | hits: 0

No direct evidence hits for this query.

CC9.1 - CC9.1 Risk Mitigation readiness

GAP | severity 4 | evidence_count 0

Demonstrate that risk mitigation is defined, operated, and reviewable with reproducible local evidence.

Expected evidence: Written policy or procedure showing ownership, approval, and review cadence for risk mitigation.; Operational records (logs, tickets, reports, or dashboards) demonstrating the control is performed consistently.; Evidence of exception handling and remediation tracking when control failures or gaps are identified.

SOC2-Q-033 - risk mitigation cc9 risk_mitigation incident_response resilience policy owner evidence review log ticket control

tags: risk_mitigation, incident_response, resilience, soc2, readiness, security | hits: 0

No direct evidence hits for this query.

SOC2-Q-034 - risk mitigation cc9 risk_mitigation incident_response resilience policy owner evidence review log ticket control

tags: risk_mitigation, incident_response, resilience, soc2, readiness, security | hits: 0

No direct evidence hits for this query.

SOC2-Q-035 - risk mitigation cc9 risk_mitigation incident_response resilience policy owner evidence review log ticket control

tags: risk_mitigation, incident_response, resilience, soc2, readiness, security | hits: 0

No direct evidence hits for this query.

CC9.2 - CC9.2 Risk Mitigation readiness

GAP | severity 5 | evidence_count 0

Demonstrate that risk mitigation is defined, operated, and reviewable with reproducible local evidence.

Expected evidence: Written policy or procedure showing ownership, approval, and review cadence for risk mitigation.; Operational records (logs, tickets, reports, or dashboards) demonstrating the control is performed consistently.; Evidence of exception handling and remediation tracking when control failures or gaps are identified.

SOC2-Q-034 - risk mitigation cc9 risk_mitigation incident_response resilience policy owner evidence review log ticket control

tags: risk_mitigation, incident_response, resilience, soc2, readiness, security | hits: 0

No direct evidence hits for this query.

SOC2-Q-035 - risk mitigation cc9 risk_mitigation incident_response resilience policy owner evidence review log ticket control

tags: risk_mitigation, incident_response, resilience, soc2, readiness, security | hits: 0

No direct evidence hits for this query.

SOC2-Q-036 - risk mitigation cc9 risk_mitigation incident_response resilience policy owner evidence review log ticket control

tags: risk_mitigation, incident_response, resilience, soc2, readiness, security | hits: 0

No direct evidence hits for this query.

CC9.3 - CC9.3 Risk Mitigation readiness

GAP | severity 4 | evidence_count 0

Demonstrate that risk mitigation is defined, operated, and reviewable with reproducible local evidence.

Expected evidence: Written policy or procedure showing ownership, approval, and review cadence for risk mitigation.; Operational records (logs, tickets, reports, or dashboards) demonstrating the control is performed consistently.; Evidence of exception handling and remediation tracking when control failures or gaps are identified.

SOC2-Q-035 - risk mitigation cc9 risk_mitigation incident_response resilience policy owner evidence review log ticket control

tags: risk_mitigation, incident_response, resilience, soc2, readiness, security | hits: 0

No direct evidence hits for this query.

SOC2-Q-036 - risk mitigation cc9 risk_mitigation incident_response resilience policy owner evidence review log ticket control

tags: risk_mitigation, incident_response, resilience, soc2, readiness, security | hits: 0

No direct evidence hits for this query.

SOC2-Q-033 - risk mitigation cc9 risk_mitigation incident_response resilience policy owner evidence review log ticket control

tags: risk_mitigation, incident_response, resilience, soc2, readiness, security | hits: 0

No direct evidence hits for this query.

CC9.4 - CC9.4 Risk Mitigation readiness

GAP | severity 5 | evidence_count 0

Demonstrate that risk mitigation is defined, operated, and reviewable with reproducible local evidence.

Expected evidence: Written policy or procedure showing ownership, approval, and review cadence for risk mitigation.; Operational records (logs, tickets, reports, or dashboards) demonstrating the control is performed consistently.; Evidence of exception handling and remediation tracking when control failures or gaps are identified.

SOC2-Q-036 - risk mitigation cc9 risk_mitigation incident_response resilience policy owner evidence review log ticket control

tags: risk_mitigation, incident_response, resilience, soc2, readiness, security | hits: 0

No direct evidence hits for this query.

SOC2-Q-033 - risk mitigation cc9 risk_mitigation incident_response resilience policy owner evidence review log ticket control

tags: risk_mitigation, incident_response, resilience, soc2, readiness, security | hits: 0

No direct evidence hits for this query.

SOC2-Q-034 - risk mitigation cc9 risk_mitigation incident_response resilience policy owner evidence review log ticket control

tags: risk_mitigation, incident_response, resilience, soc2, readiness, security | hits: 0

No direct evidence hits for this query.

CC9.5 - CC9.5 Risk Mitigation readiness

GAP | severity 4 | evidence_count 0

Demonstrate that risk mitigation is defined, operated, and reviewable with reproducible local evidence.

Expected evidence: Written policy or procedure showing ownership, approval, and review cadence for risk mitigation.; Operational records (logs, tickets, reports, or dashboards) demonstrating the control is performed consistently.; Evidence of exception handling and remediation tracking when control failures or gaps are identified.

SOC2-Q-033 - risk mitigation cc9 risk_mitigation incident_response resilience policy owner evidence review log ticket control

tags: risk_mitigation, incident_response, resilience, soc2, readiness, security | hits: 0

No direct evidence hits for this query.

SOC2-Q-034 - risk mitigation cc9 risk_mitigation incident_response resilience policy owner evidence review log ticket control

tags: risk_mitigation, incident_response, resilience, soc2, readiness, security | hits: 0

No direct evidence hits for this query.

SOC2-Q-035 - risk mitigation cc9 risk_mitigation incident_response resilience policy owner evidence review log ticket control

tags: risk_mitigation, incident_response, resilience, soc2, readiness, security | hits: 0

No direct evidence hits for this query.

C1.1 - C1.1 Confidentiality Commitments readiness

GAP | severity 5 | evidence_count 0

Demonstrate that confidentiality commitments is defined, operated, and reviewable with reproducible local evidence.

Expected evidence: Written policy or procedure showing ownership, approval, and review cadence for confidentiality commitments.; Operational records (logs, tickets, reports, or dashboards) demonstrating the control is performed consistently.; Evidence of exception handling and remediation tracking when control failures or gaps are identified.

SOC2-Q-037 - confidentiality commitments c1 confidentiality data_classification encryption policy owner evidence review log ticket control

tags: confidentiality, data_classification, encryption, soc2, readiness | hits: 0

No direct evidence hits for this query.

SOC2-Q-038 - confidentiality commitments c1 confidentiality data_classification encryption policy owner evidence review log ticket control

tags: confidentiality, data_classification, encryption, soc2, readiness | hits: 0

No direct evidence hits for this query.

SOC2-Q-039 - confidentiality commitments c1 confidentiality data_classification encryption policy owner evidence review log ticket control

tags: confidentiality, data_classification, encryption, soc2, readiness | hits: 0

No direct evidence hits for this query.

C1.2 - C1.2 Confidentiality Commitments readiness

GAP | severity 4 | evidence_count 0

Demonstrate that confidentiality commitments is defined, operated, and reviewable with reproducible local evidence.

Expected evidence: Written policy or procedure showing ownership, approval, and review cadence for confidentiality commitments.; Operational records (logs, tickets, reports, or dashboards) demonstrating the control is performed consistently.; Evidence of exception handling and remediation tracking when control failures or gaps are identified.

SOC2-Q-038 - confidentiality commitments c1 confidentiality data_classification encryption policy owner evidence review log ticket control

tags: confidentiality, data_classification, encryption, soc2, readiness | hits: 0

No direct evidence hits for this query.

SOC2-Q-039 - confidentiality commitments c1 confidentiality data_classification encryption policy owner evidence review log ticket control

tags: confidentiality, data_classification, encryption, soc2, readiness | hits: 0

No direct evidence hits for this query.

SOC2-Q-037 - confidentiality commitments c1 confidentiality data_classification encryption policy owner evidence review log ticket control

tags: confidentiality, data_classification, encryption, soc2, readiness | hits: 0

No direct evidence hits for this query.

C1.3 - C1.3 Confidentiality Commitments readiness

GAP | severity 5 | evidence_count 0

Demonstrate that confidentiality commitments is defined, operated, and reviewable with reproducible local evidence.

Expected evidence: Written policy or procedure showing ownership, approval, and review cadence for confidentiality commitments.; Operational records (logs, tickets, reports, or dashboards) demonstrating the control is performed consistently.; Evidence of exception handling and remediation tracking when control failures or gaps are identified.

SOC2-Q-039 - confidentiality commitments c1 confidentiality data_classification encryption policy owner evidence review log ticket control

tags: confidentiality, data_classification, encryption, soc2, readiness | hits: 0

No direct evidence hits for this query.

SOC2-Q-037 - confidentiality commitments c1 confidentiality data_classification encryption policy owner evidence review log ticket control

tags: confidentiality, data_classification, encryption, soc2, readiness | hits: 0

No direct evidence hits for this query.

SOC2-Q-038 - confidentiality commitments c1 confidentiality data_classification encryption policy owner evidence review log ticket control

tags: confidentiality, data_classification, encryption, soc2, readiness | hits: 0

No direct evidence hits for this query.

C1.4 - C1.4 Confidentiality Commitments readiness

GAP | severity 4 | evidence_count 0

Demonstrate that confidentiality commitments is defined, operated, and reviewable with reproducible local evidence.

Expected evidence: Written policy or procedure showing ownership, approval, and review cadence for confidentiality commitments.; Operational records (logs, tickets, reports, or dashboards) demonstrating the control is performed consistently.; Evidence of exception handling and remediation tracking when control failures or gaps are identified.

SOC2-Q-037 - confidentiality commitments c1 confidentiality data_classification encryption policy owner evidence review log ticket control

tags: confidentiality, data_classification, encryption, soc2, readiness | hits: 0

No direct evidence hits for this query.

SOC2-Q-038 - confidentiality commitments c1 confidentiality data_classification encryption policy owner evidence review log ticket control

tags: confidentiality, data_classification, encryption, soc2, readiness | hits: 0

No direct evidence hits for this query.

SOC2-Q-039 - confidentiality commitments c1 confidentiality data_classification encryption policy owner evidence review log ticket control

tags: confidentiality, data_classification, encryption, soc2, readiness | hits: 0

No direct evidence hits for this query.

C1.5 - C1.5 Confidentiality Commitments readiness

GAP | severity 5 | evidence_count 0

Demonstrate that confidentiality commitments is defined, operated, and reviewable with reproducible local evidence.

Expected evidence: Written policy or procedure showing ownership, approval, and review cadence for confidentiality commitments.; Operational records (logs, tickets, reports, or dashboards) demonstrating the control is performed consistently.; Evidence of exception handling and remediation tracking when control failures or gaps are identified.

SOC2-Q-038 - confidentiality commitments c1 confidentiality data_classification encryption policy owner evidence review log ticket control

tags: confidentiality, data_classification, encryption, soc2, readiness | hits: 0

No direct evidence hits for this query.

SOC2-Q-039 - confidentiality commitments c1 confidentiality data_classification encryption policy owner evidence review log ticket control

tags: confidentiality, data_classification, encryption, soc2, readiness | hits: 0

No direct evidence hits for this query.

SOC2-Q-037 - confidentiality commitments c1 confidentiality data_classification encryption policy owner evidence review log ticket control

tags: confidentiality, data_classification, encryption, soc2, readiness | hits: 0

No direct evidence hits for this query.

C1.6 - C1.6 Confidentiality Commitments readiness

GAP | severity 4 | evidence_count 0

Demonstrate that confidentiality commitments is defined, operated, and reviewable with reproducible local evidence.

Expected evidence: Written policy or procedure showing ownership, approval, and review cadence for confidentiality commitments.; Operational records (logs, tickets, reports, or dashboards) demonstrating the control is performed consistently.; Evidence of exception handling and remediation tracking when control failures or gaps are identified.

SOC2-Q-039 - confidentiality commitments c1 confidentiality data_classification encryption policy owner evidence review log ticket control

tags: confidentiality, data_classification, encryption, soc2, readiness | hits: 0

No direct evidence hits for this query.

SOC2-Q-037 - confidentiality commitments c1 confidentiality data_classification encryption policy owner evidence review log ticket control

tags: confidentiality, data_classification, encryption, soc2, readiness | hits: 0

No direct evidence hits for this query.

SOC2-Q-038 - confidentiality commitments c1 confidentiality data_classification encryption policy owner evidence review log ticket control

tags: confidentiality, data_classification, encryption, soc2, readiness | hits: 0

No direct evidence hits for this query.

C2.1 - C2.1 Confidential Data Lifecycle readiness

GAP | severity 5 | evidence_count 0

Demonstrate that confidential data lifecycle is defined, operated, and reviewable with reproducible local evidence.

Expected evidence: Written policy or procedure showing ownership, approval, and review cadence for confidential data lifecycle.; Operational records (logs, tickets, reports, or dashboards) demonstrating the control is performed consistently.; Evidence of exception handling and remediation tracking when control failures or gaps are identified.

SOC2-Q-040 - confidential data lifecycle c2 confidentiality data_handling retention policy owner evidence review log ticket control

tags: confidentiality, data_handling, retention, soc2, readiness | hits: 0

No direct evidence hits for this query.

SOC2-Q-041 - confidential data lifecycle c2 confidentiality data_handling retention policy owner evidence review log ticket control

tags: confidentiality, data_handling, retention, soc2, readiness | hits: 0

No direct evidence hits for this query.

SOC2-Q-042 - confidential data lifecycle c2 confidentiality data_handling retention policy owner evidence review log ticket control

tags: confidentiality, data_handling, retention, soc2, readiness | hits: 0

No direct evidence hits for this query.

C2.2 - C2.2 Confidential Data Lifecycle readiness

GAP | severity 4 | evidence_count 0

Demonstrate that confidential data lifecycle is defined, operated, and reviewable with reproducible local evidence.

Expected evidence: Written policy or procedure showing ownership, approval, and review cadence for confidential data lifecycle.; Operational records (logs, tickets, reports, or dashboards) demonstrating the control is performed consistently.; Evidence of exception handling and remediation tracking when control failures or gaps are identified.

SOC2-Q-041 - confidential data lifecycle c2 confidentiality data_handling retention policy owner evidence review log ticket control

tags: confidentiality, data_handling, retention, soc2, readiness | hits: 0

No direct evidence hits for this query.

SOC2-Q-042 - confidential data lifecycle c2 confidentiality data_handling retention policy owner evidence review log ticket control

tags: confidentiality, data_handling, retention, soc2, readiness | hits: 0

No direct evidence hits for this query.

SOC2-Q-040 - confidential data lifecycle c2 confidentiality data_handling retention policy owner evidence review log ticket control

tags: confidentiality, data_handling, retention, soc2, readiness | hits: 0

No direct evidence hits for this query.

C2.3 - C2.3 Confidential Data Lifecycle readiness

GAP | severity 5 | evidence_count 0

Demonstrate that confidential data lifecycle is defined, operated, and reviewable with reproducible local evidence.

Expected evidence: Written policy or procedure showing ownership, approval, and review cadence for confidential data lifecycle.; Operational records (logs, tickets, reports, or dashboards) demonstrating the control is performed consistently.; Evidence of exception handling and remediation tracking when control failures or gaps are identified.

SOC2-Q-042 - confidential data lifecycle c2 confidentiality data_handling retention policy owner evidence review log ticket control

tags: confidentiality, data_handling, retention, soc2, readiness | hits: 0

No direct evidence hits for this query.

SOC2-Q-040 - confidential data lifecycle c2 confidentiality data_handling retention policy owner evidence review log ticket control

tags: confidentiality, data_handling, retention, soc2, readiness | hits: 0

No direct evidence hits for this query.

SOC2-Q-041 - confidential data lifecycle c2 confidentiality data_handling retention policy owner evidence review log ticket control

tags: confidentiality, data_handling, retention, soc2, readiness | hits: 0

No direct evidence hits for this query.

C2.4 - C2.4 Confidential Data Lifecycle readiness

GAP | severity 4 | evidence_count 0

Demonstrate that confidential data lifecycle is defined, operated, and reviewable with reproducible local evidence.

Expected evidence: Written policy or procedure showing ownership, approval, and review cadence for confidential data lifecycle.; Operational records (logs, tickets, reports, or dashboards) demonstrating the control is performed consistently.; Evidence of exception handling and remediation tracking when control failures or gaps are identified.

SOC2-Q-040 - confidential data lifecycle c2 confidentiality data_handling retention policy owner evidence review log ticket control

tags: confidentiality, data_handling, retention, soc2, readiness | hits: 0

No direct evidence hits for this query.

SOC2-Q-041 - confidential data lifecycle c2 confidentiality data_handling retention policy owner evidence review log ticket control

tags: confidentiality, data_handling, retention, soc2, readiness | hits: 0

No direct evidence hits for this query.

SOC2-Q-042 - confidential data lifecycle c2 confidentiality data_handling retention policy owner evidence review log ticket control

tags: confidentiality, data_handling, retention, soc2, readiness | hits: 0

No direct evidence hits for this query.

C2.5 - C2.5 Confidential Data Lifecycle readiness

GAP | severity 5 | evidence_count 0

Demonstrate that confidential data lifecycle is defined, operated, and reviewable with reproducible local evidence.

Expected evidence: Written policy or procedure showing ownership, approval, and review cadence for confidential data lifecycle.; Operational records (logs, tickets, reports, or dashboards) demonstrating the control is performed consistently.; Evidence of exception handling and remediation tracking when control failures or gaps are identified.

SOC2-Q-041 - confidential data lifecycle c2 confidentiality data_handling retention policy owner evidence review log ticket control

tags: confidentiality, data_handling, retention, soc2, readiness | hits: 0

No direct evidence hits for this query.

SOC2-Q-042 - confidential data lifecycle c2 confidentiality data_handling retention policy owner evidence review log ticket control

tags: confidentiality, data_handling, retention, soc2, readiness | hits: 0

No direct evidence hits for this query.

SOC2-Q-040 - confidential data lifecycle c2 confidentiality data_handling retention policy owner evidence review log ticket control

tags: confidentiality, data_handling, retention, soc2, readiness | hits: 0

No direct evidence hits for this query.

C2.6 - C2.6 Confidential Data Lifecycle readiness

GAP | severity 4 | evidence_count 0

Demonstrate that confidential data lifecycle is defined, operated, and reviewable with reproducible local evidence.

Expected evidence: Written policy or procedure showing ownership, approval, and review cadence for confidential data lifecycle.; Operational records (logs, tickets, reports, or dashboards) demonstrating the control is performed consistently.; Evidence of exception handling and remediation tracking when control failures or gaps are identified.

SOC2-Q-042 - confidential data lifecycle c2 confidentiality data_handling retention policy owner evidence review log ticket control

tags: confidentiality, data_handling, retention, soc2, readiness | hits: 0

No direct evidence hits for this query.

SOC2-Q-040 - confidential data lifecycle c2 confidentiality data_handling retention policy owner evidence review log ticket control

tags: confidentiality, data_handling, retention, soc2, readiness | hits: 0

No direct evidence hits for this query.

SOC2-Q-041 - confidential data lifecycle c2 confidentiality data_handling retention policy owner evidence review log ticket control

tags: confidentiality, data_handling, retention, soc2, readiness | hits: 0

No direct evidence hits for this query.

A1.1 - A1.1 Availability Planning readiness

GAP | severity 4 | evidence_count 0

Demonstrate that availability planning is defined, operated, and reviewable with reproducible local evidence.

Expected evidence: Written policy or procedure showing ownership, approval, and review cadence for availability planning.; Operational records (logs, tickets, reports, or dashboards) demonstrating the control is performed consistently.; Evidence of exception handling and remediation tracking when control failures or gaps are identified.

SOC2-Q-043 - availability planning a1 availability capacity sla policy owner evidence review log ticket control

tags: availability, capacity, sla, soc2, readiness | hits: 0

No direct evidence hits for this query.

SOC2-Q-044 - availability planning a1 availability capacity sla policy owner evidence review log ticket control

tags: availability, capacity, sla, soc2, readiness | hits: 0

No direct evidence hits for this query.

A1.2 - A1.2 Availability Planning readiness

GAP | severity 3 | evidence_count 0

Demonstrate that availability planning is defined, operated, and reviewable with reproducible local evidence.

Expected evidence: Written policy or procedure showing ownership, approval, and review cadence for availability planning.; Operational records (logs, tickets, reports, or dashboards) demonstrating the control is performed consistently.; Evidence of exception handling and remediation tracking when control failures or gaps are identified.

SOC2-Q-044 - availability planning a1 availability capacity sla policy owner evidence review log ticket control

tags: availability, capacity, sla, soc2, readiness | hits: 0

No direct evidence hits for this query.

SOC2-Q-043 - availability planning a1 availability capacity sla policy owner evidence review log ticket control

tags: availability, capacity, sla, soc2, readiness | hits: 0

No direct evidence hits for this query.

A1.3 - A1.3 Availability Planning readiness

GAP | severity 4 | evidence_count 0

Demonstrate that availability planning is defined, operated, and reviewable with reproducible local evidence.

Expected evidence: Written policy or procedure showing ownership, approval, and review cadence for availability planning.; Operational records (logs, tickets, reports, or dashboards) demonstrating the control is performed consistently.; Evidence of exception handling and remediation tracking when control failures or gaps are identified.

SOC2-Q-043 - availability planning a1 availability capacity sla policy owner evidence review log ticket control

tags: availability, capacity, sla, soc2, readiness | hits: 0

No direct evidence hits for this query.

SOC2-Q-044 - availability planning a1 availability capacity sla policy owner evidence review log ticket control

tags: availability, capacity, sla, soc2, readiness | hits: 0

No direct evidence hits for this query.

A1.4 - A1.4 Availability Planning readiness

GAP | severity 3 | evidence_count 0

Demonstrate that availability planning is defined, operated, and reviewable with reproducible local evidence.

Expected evidence: Written policy or procedure showing ownership, approval, and review cadence for availability planning.; Operational records (logs, tickets, reports, or dashboards) demonstrating the control is performed consistently.; Evidence of exception handling and remediation tracking when control failures or gaps are identified.

SOC2-Q-044 - availability planning a1 availability capacity sla policy owner evidence review log ticket control

tags: availability, capacity, sla, soc2, readiness | hits: 0

No direct evidence hits for this query.

SOC2-Q-043 - availability planning a1 availability capacity sla policy owner evidence review log ticket control

tags: availability, capacity, sla, soc2, readiness | hits: 0

No direct evidence hits for this query.

A2.1 - A2.1 Backup and Recovery readiness

GAP | severity 4 | evidence_count 0

Demonstrate that backup and recovery is defined, operated, and reviewable with reproducible local evidence.

Expected evidence: Written policy or procedure showing ownership, approval, and review cadence for backup and recovery.; Operational records (logs, tickets, reports, or dashboards) demonstrating the control is performed consistently.; Evidence of exception handling and remediation tracking when control failures or gaps are identified.

SOC2-Q-045 - backup and recovery a2 availability backup restore policy owner evidence review log ticket control

tags: availability, backup, restore, soc2, readiness | hits: 0

No direct evidence hits for this query.

SOC2-Q-046 - backup and recovery a2 availability backup restore policy owner evidence review log ticket control

tags: availability, backup, restore, soc2, readiness | hits: 0

No direct evidence hits for this query.

A2.2 - A2.2 Backup and Recovery readiness

GAP | severity 3 | evidence_count 0

Demonstrate that backup and recovery is defined, operated, and reviewable with reproducible local evidence.

Expected evidence: Written policy or procedure showing ownership, approval, and review cadence for backup and recovery.; Operational records (logs, tickets, reports, or dashboards) demonstrating the control is performed consistently.; Evidence of exception handling and remediation tracking when control failures or gaps are identified.

SOC2-Q-046 - backup and recovery a2 availability backup restore policy owner evidence review log ticket control

tags: availability, backup, restore, soc2, readiness | hits: 0

No direct evidence hits for this query.

SOC2-Q-045 - backup and recovery a2 availability backup restore policy owner evidence review log ticket control

tags: availability, backup, restore, soc2, readiness | hits: 0

No direct evidence hits for this query.

A2.3 - A2.3 Backup and Recovery readiness

GAP | severity 4 | evidence_count 0

Demonstrate that backup and recovery is defined, operated, and reviewable with reproducible local evidence.

Expected evidence: Written policy or procedure showing ownership, approval, and review cadence for backup and recovery.; Operational records (logs, tickets, reports, or dashboards) demonstrating the control is performed consistently.; Evidence of exception handling and remediation tracking when control failures or gaps are identified.

SOC2-Q-045 - backup and recovery a2 availability backup restore policy owner evidence review log ticket control

tags: availability, backup, restore, soc2, readiness | hits: 0

No direct evidence hits for this query.

SOC2-Q-046 - backup and recovery a2 availability backup restore policy owner evidence review log ticket control

tags: availability, backup, restore, soc2, readiness | hits: 0

No direct evidence hits for this query.

A2.4 - A2.4 Backup and Recovery readiness

GAP | severity 3 | evidence_count 0

Demonstrate that backup and recovery is defined, operated, and reviewable with reproducible local evidence.

Expected evidence: Written policy or procedure showing ownership, approval, and review cadence for backup and recovery.; Operational records (logs, tickets, reports, or dashboards) demonstrating the control is performed consistently.; Evidence of exception handling and remediation tracking when control failures or gaps are identified.

SOC2-Q-046 - backup and recovery a2 availability backup restore policy owner evidence review log ticket control

tags: availability, backup, restore, soc2, readiness | hits: 0

No direct evidence hits for this query.

SOC2-Q-045 - backup and recovery a2 availability backup restore policy owner evidence review log ticket control

tags: availability, backup, restore, soc2, readiness | hits: 0

No direct evidence hits for this query.

A3.1 - A3.1 Resilience Testing readiness

GAP | severity 4 | evidence_count 0

Demonstrate that resilience testing is defined, operated, and reviewable with reproducible local evidence.

Expected evidence: Written policy or procedure showing ownership, approval, and review cadence for resilience testing.; Operational records (logs, tickets, reports, or dashboards) demonstrating the control is performed consistently.; Evidence of exception handling and remediation tracking when control failures or gaps are identified.

SOC2-Q-047 - resilience testing a3 availability dr_testing continuity policy owner evidence review log ticket control

tags: availability, dr_testing, continuity, soc2, readiness | hits: 0

No direct evidence hits for this query.

SOC2-Q-048 - resilience testing a3 availability dr_testing continuity policy owner evidence review log ticket control

tags: availability, dr_testing, continuity, soc2, readiness | hits: 0

No direct evidence hits for this query.

A3.2 - A3.2 Resilience Testing readiness

GAP | severity 3 | evidence_count 0

Demonstrate that resilience testing is defined, operated, and reviewable with reproducible local evidence.

Expected evidence: Written policy or procedure showing ownership, approval, and review cadence for resilience testing.; Operational records (logs, tickets, reports, or dashboards) demonstrating the control is performed consistently.; Evidence of exception handling and remediation tracking when control failures or gaps are identified.

SOC2-Q-048 - resilience testing a3 availability dr_testing continuity policy owner evidence review log ticket control

tags: availability, dr_testing, continuity, soc2, readiness | hits: 0

No direct evidence hits for this query.

SOC2-Q-047 - resilience testing a3 availability dr_testing continuity policy owner evidence review log ticket control

tags: availability, dr_testing, continuity, soc2, readiness | hits: 0

No direct evidence hits for this query.

A3.3 - A3.3 Resilience Testing readiness

GAP | severity 4 | evidence_count 0

Demonstrate that resilience testing is defined, operated, and reviewable with reproducible local evidence.

Expected evidence: Written policy or procedure showing ownership, approval, and review cadence for resilience testing.; Operational records (logs, tickets, reports, or dashboards) demonstrating the control is performed consistently.; Evidence of exception handling and remediation tracking when control failures or gaps are identified.

SOC2-Q-047 - resilience testing a3 availability dr_testing continuity policy owner evidence review log ticket control

tags: availability, dr_testing, continuity, soc2, readiness | hits: 0

No direct evidence hits for this query.

SOC2-Q-048 - resilience testing a3 availability dr_testing continuity policy owner evidence review log ticket control

tags: availability, dr_testing, continuity, soc2, readiness | hits: 0

No direct evidence hits for this query.

A3.4 - A3.4 Resilience Testing readiness

GAP | severity 3 | evidence_count 0

Demonstrate that resilience testing is defined, operated, and reviewable with reproducible local evidence.

Expected evidence: Written policy or procedure showing ownership, approval, and review cadence for resilience testing.; Operational records (logs, tickets, reports, or dashboards) demonstrating the control is performed consistently.; Evidence of exception handling and remediation tracking when control failures or gaps are identified.

SOC2-Q-048 - resilience testing a3 availability dr_testing continuity policy owner evidence review log ticket control

tags: availability, dr_testing, continuity, soc2, readiness | hits: 0

No direct evidence hits for this query.

SOC2-Q-047 - resilience testing a3 availability dr_testing continuity policy owner evidence review log ticket control

tags: availability, dr_testing, continuity, soc2, readiness | hits: 0

No direct evidence hits for this query.

PI1.1 - PI1.1 Input Integrity readiness

GAP | severity 3 | evidence_count 0

Demonstrate that input integrity is defined, operated, and reviewable with reproducible local evidence.

Expected evidence: Written policy or procedure showing ownership, approval, and review cadence for input integrity.; Operational records (logs, tickets, reports, or dashboards) demonstrating the control is performed consistently.; Evidence of exception handling and remediation tracking when control failures or gaps are identified.

SOC2-Q-049 - input integrity pi1 processing_integrity input_validation completeness policy owner evidence review log ticket control

tags: processing_integrity, input_validation, completeness, soc2, readiness, processingintegrity | hits: 0

No direct evidence hits for this query.

PI1.2 - PI1.2 Input Integrity readiness

GAP | severity 4 | evidence_count 0

Demonstrate that input integrity is defined, operated, and reviewable with reproducible local evidence.

Expected evidence: Written policy or procedure showing ownership, approval, and review cadence for input integrity.; Operational records (logs, tickets, reports, or dashboards) demonstrating the control is performed consistently.; Evidence of exception handling and remediation tracking when control failures or gaps are identified.

SOC2-Q-049 - input integrity pi1 processing_integrity input_validation completeness policy owner evidence review log ticket control

tags: processing_integrity, input_validation, completeness, soc2, readiness, processingintegrity | hits: 0

No direct evidence hits for this query.

PI1.3 - PI1.3 Input Integrity readiness

GAP | severity 3 | evidence_count 0

Demonstrate that input integrity is defined, operated, and reviewable with reproducible local evidence.

Expected evidence: Written policy or procedure showing ownership, approval, and review cadence for input integrity.; Operational records (logs, tickets, reports, or dashboards)

demonstrating the control is performed consistently.; Evidence of exception handling and remediation tracking when control failures or gaps are identified.

SOC2-Q-049 - input integrity pi1 processing_integrity input_validation completeness policy owner evidence review log ticket control

tags: processing_integrity, input_validation, completeness, soc2, readiness, processingintegrity | hits: 0

No direct evidence hits for this query.

PI2.1 - PI2.1 Processing Accuracy readiness

GAP | severity 3 | evidence_count 0

Demonstrate that processing accuracy is defined, operated, and reviewable with reproducible local evidence.

Expected evidence: Written policy or procedure showing ownership, approval, and review cadence for processing accuracy.; Operational records (logs, tickets, reports, or dashboards) demonstrating the control is performed consistently.; Evidence of exception handling and remediation tracking when control failures or gaps are identified.

SOC2-Q-050 - processing accuracy pi2 processing_integrity accuracy reconciliation policy owner evidence review log ticket control

tags: processing_integrity, accuracy, reconciliation, soc2, readiness, processingintegrity | hits: 0

No direct evidence hits for this query.

PI2.2 - PI2.2 Processing Accuracy readiness

GAP | severity 4 | evidence_count 0

Demonstrate that processing accuracy is defined, operated, and reviewable with reproducible local evidence.

Expected evidence: Written policy or procedure showing ownership, approval, and review cadence for processing accuracy.; Operational records (logs, tickets, reports, or dashboards) demonstrating the control is performed consistently.; Evidence of exception handling and remediation tracking when control failures or gaps are identified.

SOC2-Q-050 - processing accuracy pi2 processing_integrity accuracy reconciliation policy owner evidence review log ticket control

tags: processing_integrity, accuracy, reconciliation, soc2, readiness, processingintegrity | hits: 0

No direct evidence hits for this query.

PI2.3 - PI2.3 Processing Accuracy readiness

GAP | severity 3 | evidence_count 0

Demonstrate that processing accuracy is defined, operated, and reviewable with reproducible local evidence.

Expected evidence: Written policy or procedure showing ownership, approval, and review cadence for processing accuracy.; Operational records (logs, tickets, reports, or dashboards) demonstrating the control is performed consistently.; Evidence of exception handling and remediation tracking when control failures or gaps are identified.

SOC2-Q-050 - processing accuracy pi2 processing_integrity accuracy reconciliation policy owner evidence review log ticket control

tags: processing_integrity, accuracy, reconciliation, soc2, readiness, processingintegrity | hits: 0

No direct evidence hits for this query.

PI3.1 - PI3.1 Exception Handling readiness

GAP | severity 3 | evidence_count 0

Demonstrate that exception handling is defined, operated, and reviewable with reproducible local evidence.

Expected evidence: Written policy or procedure showing ownership, approval, and review cadence for exception handling.; Operational records (logs, tickets, reports, or dashboards) demonstrating the control is performed consistently.; Evidence of exception handling and remediation tracking when control failures or gaps are identified.

SOC2-Q-051 - exception handling pi3 processing_integrity exceptions workflow policy owner evidence review log ticket control

tags: processing_integrity, exceptions, workflow, soc2, readiness, processingintegrity | hits: 0

No direct evidence hits for this query.

PI3.2 - PI3.2 Exception Handling readiness

GAP | severity 4 | evidence_count 0

Demonstrate that exception handling is defined, operated, and reviewable with reproducible local evidence.

Expected evidence: Written policy or procedure showing ownership, approval, and review cadence for exception handling.; Operational records (logs, tickets, reports, or dashboards) demonstrating the control is performed consistently.; Evidence of exception handling and remediation tracking when control failures or gaps are identified.

SOC2-Q-051 - exception handling pi3 processing_integrity exceptions workflow policy owner evidence review log ticket control

tags: processing_integrity, exceptions, workflow, soc2, readiness, processingintegrity | hits: 0

No direct evidence hits for this query.

PI3.3 - PI3.3 Exception Handling readiness

GAP | severity 3 | evidence_count 0

Demonstrate that exception handling is defined, operated, and reviewable with reproducible local evidence.

Expected evidence: Written policy or procedure showing ownership, approval, and review cadence for exception handling.; Operational records (logs, tickets, reports, or dashboards) demonstrating the control is performed consistently.; Evidence of exception handling and remediation tracking when control failures or gaps are identified.

SOC2-Q-051 - exception handling pi3 processing_integrity exceptions workflow policy owner evidence review log ticket control

tags: processing_integrity, exceptions, workflow, soc2, readiness, processingintegrity | hits: 0

No direct evidence hits for this query.

PI4.1 - PI4.1 Job and Batch Control readiness

GAP | severity 3 | evidence_count 0

Demonstrate that job and batch control is defined, operated, and reviewable with reproducible local evidence.

Expected evidence: Written policy or procedure showing ownership, approval, and review cadence for job and batch control.; Operational records (logs, tickets, reports, or dashboards) demonstrating the control is performed consistently.; Evidence of exception handling and remediation tracking when control failures or gaps are identified.

SOC2-Q-052 - job and batch control pi4 processing_integrity job_control batch policy owner evidence review log ticket control

tags: processing_integrity, job_control, batch, soc2, readiness, processingintegrity | hits: 0

No direct evidence hits for this query.

PI4.2 - PI4.2 Job and Batch Control readiness

GAP | severity 4 | evidence_count 0

Demonstrate that job and batch control is defined, operated, and reviewable with reproducible local evidence.

Expected evidence: Written policy or procedure showing ownership, approval, and review cadence for job and batch control.; Operational records (logs, tickets, reports, or dashboards) demonstrating the control is performed consistently.; Evidence of exception handling and remediation tracking when control failures or gaps are identified.

SOC2-Q-052 - job and batch control pi4 processing_integrity job_control batch policy owner evidence review log ticket control

tags: processing_integrity, job_control, batch, soc2, readiness, processingintegrity | hits: 0

No direct evidence hits for this query.

PI4.3 - PI4.3 Job and Batch Control readiness

GAP | severity 3 | evidence_count 0

Demonstrate that job and batch control is defined, operated, and reviewable with reproducible local evidence.

Expected evidence: Written policy or procedure showing ownership, approval, and review cadence for job and batch control.; Operational records (logs, tickets, reports, or dashboards) demonstrating the control is performed consistently.; Evidence of exception handling and remediation tracking when control failures or gaps are identified.

SOC2-Q-052 - job and batch control pi4 processing_integrity job_control batch policy owner evidence review log ticket control

tags: processing_integrity, job_control, batch, soc2, readiness, processingintegrity | hits: 0

No direct evidence hits for this query.

PI5.1 - PI5.1 Output Review readiness

GAP | severity 3 | evidence_count 0

Demonstrate that output review is defined, operated, and reviewable with reproducible local evidence.

Expected evidence: Written policy or procedure showing ownership, approval, and review cadence for output review.; Operational records (logs, tickets, reports, or dashboards) demonstrating the control is performed consistently.; Evidence of exception handling and remediation tracking when control failures or gaps are identified.

SOC2-Q-053 - output review pi5 processing_integrity output_review traceability policy owner evidence review log ticket control

tags: processing_integrity, output_review, traceability, soc2, readiness, processingintegrity | hits: 0

No direct evidence hits for this query.

PI5.2 - PI5.2 Output Review readiness

GAP | severity 4 | evidence_count 0

Demonstrate that output review is defined, operated, and reviewable with reproducible local evidence.

Expected evidence: Written policy or procedure showing ownership, approval, and review cadence for output review.; Operational records (logs, tickets, reports, or dashboards) demonstrating the control is performed consistently.; Evidence of exception handling and remediation tracking when control failures or gaps are identified.

SOC2-Q-053 - output review pi5 processing_integrity output_review traceability policy owner evidence review log ticket control

tags: processing_integrity, output_review, traceability, soc2, readiness, processingintegrity | hits: 0

No direct evidence hits for this query.

PI5.3 - PI5.3 Output Review readiness

GAP | severity 3 | evidence_count 0

Demonstrate that output review is defined, operated, and reviewable with reproducible local evidence.

Expected evidence: Written policy or procedure showing ownership, approval, and review cadence for output review.; Operational records (logs, tickets, reports, or dashboards)

demonstrating the control is performed consistently.; Evidence of exception handling and remediation tracking when control failures or gaps are identified.

SOC2-Q-053 - output review pi5 processing_integrity output_review traceability policy owner evidence review log ticket control

tags: processing_integrity, output_review, traceability, soc2, readiness, processingintegrity | hits: 0

No direct evidence hits for this query.

P1.1 - P1.1 Notice and Transparency readiness

GAP | severity 4 | evidence_count 0

Demonstrate that notice and transparency is defined, operated, and reviewable with reproducible local evidence.

Expected evidence: Written policy or procedure showing ownership, approval, and review cadence for notice and transparency.; Operational records (logs, tickets, reports, or dashboards) demonstrating the control is performed consistently.; Evidence of exception handling and remediation tracking when control failures or gaps are identified.

SOC2-Q-054 - notice and transparency p1 privacy notice consent policy owner evidence review log ticket control

tags: privacy, notice, consent, soc2, readiness | hits: 0

No direct evidence hits for this query.

P1.2 - P1.2 Notice and Transparency readiness

GAP | severity 3 | evidence_count 0

Demonstrate that notice and transparency is defined, operated, and reviewable with reproducible local evidence.

Expected evidence: Written policy or procedure showing ownership, approval, and review cadence for notice and transparency.; Operational records (logs, tickets, reports, or dashboards) demonstrating the control is performed consistently.; Evidence of exception handling and remediation tracking when control failures or gaps are identified.

SOC2-Q-054 - notice and transparency p1 privacy notice consent policy owner evidence review log ticket control

tags: privacy, notice, consent, soc2, readiness | hits: 0

No direct evidence hits for this query.

P2.1 - P2.1 Collection and Use Limitation readiness

GAP | severity 4 | evidence_count 0

Demonstrate that collection and use limitation is defined, operated, and reviewable with reproducible local evidence.

Expected evidence: Written policy or procedure showing ownership, approval, and review cadence for collection and use limitation.; Operational records (logs, tickets, reports, or dashboards) demonstrating the control is performed consistently.; Evidence of exception handling and remediation tracking when control failures or gaps are identified.

SOC2-Q-055 - collection and use limitation p2 privacy collection purpose_limitation policy owner evidence review log ticket control

tags: privacy, collection, purpose_limitation, soc2, readiness | hits: 0

No direct evidence hits for this query.

P2.2 - P2.2 Collection and Use Limitation readiness

GAP | severity 3 | evidence_count 0

Demonstrate that collection and use limitation is defined, operated, and reviewable with reproducible local evidence.

Expected evidence: Written policy or procedure showing ownership, approval, and review cadence for collection and use limitation.; Operational records (logs, tickets, reports, or dashboards) demonstrating the control is performed consistently.; Evidence of exception handling and remediation tracking when control failures or gaps are identified.

SOC2-Q-055 - collection and use limitation p2 privacy collection purpose_limitation policy owner evidence review log ticket control

tags: privacy, collection, purpose_limitation, soc2, readiness | hits: 0

No direct evidence hits for this query.

P3.1 - P3.1 Data Subject Rights readiness

GAP | severity 4 | evidence_count 0

Demonstrate that data subject rights is defined, operated, and reviewable with reproducible local evidence.

Expected evidence: Written policy or procedure showing ownership, approval, and review cadence for data subject rights.; Operational records (logs, tickets, reports, or dashboards) demonstrating the control is performed consistently.; Evidence of exception handling and remediation tracking when control failures or gaps are identified.

SOC2-Q-056 - data subject rights p3 privacy data_subject_rights request_handling policy owner evidence review log ticket control

tags: privacy, data_subject_rights, request_handling, soc2, readiness | hits: 0

No direct evidence hits for this query.

P3.2 - P3.2 Data Subject Rights readiness

GAP | severity 3 | evidence_count 0

Demonstrate that data subject rights is defined, operated, and reviewable with reproducible local evidence.

Expected evidence: Written policy or procedure showing ownership, approval, and review cadence for data subject rights.; Operational records (logs, tickets, reports, or dashboards) demonstrating the control is performed consistently.; Evidence of exception handling and remediation tracking when control failures or gaps are identified.

SOC2-Q-056 - data subject rights p3 privacy data_subject_rights request_handling policy owner evidence review log ticket control

tags: privacy, data_subject_rights, request_handling, soc2, readiness | hits: 0

No direct evidence hits for this query.

P4.1 - P4.1 Privacy Safeguards readiness

GAP | severity 4 | evidence_count 0

Demonstrate that privacy safeguards is defined, operated, and reviewable with reproducible local evidence.

Expected evidence: Written policy or procedure showing ownership, approval, and review cadence for privacy safeguards.; Operational records (logs, tickets, reports, or dashboards) demonstrating the control is performed consistently.; Evidence of exception handling and remediation tracking when control failures or gaps are identified.

SOC2-Q-057 - privacy safeguards p4 privacy safeguards confidentiality policy owner evidence review log ticket control

tags: privacy, safeguards, confidentiality, soc2, readiness | hits: 0

No direct evidence hits for this query.

P4.2 - P4.2 Privacy Safeguards readiness

GAP | severity 3 | evidence_count 0

Demonstrate that privacy safeguards is defined, operated, and reviewable with reproducible local evidence.

Expected evidence: Written policy or procedure showing ownership, approval, and review cadence for privacy safeguards.; Operational records (logs, tickets, reports, or dashboards) demonstrating the control is performed consistently.; Evidence of exception handling and remediation tracking when control failures or gaps are identified.

SOC2-Q-057 - privacy safeguards p4 privacy safeguards confidentiality policy owner evidence review log ticket control

tags: privacy, safeguards, confidentiality, soc2, readiness | hits: 0

No direct evidence hits for this query.

P5.1 - P5.1 Third-Party Privacy Management readiness

GAP | severity 4 | evidence_count 0

Demonstrate that third-party privacy management is defined, operated, and reviewable with reproducible local evidence.

Expected evidence: Written policy or procedure showing ownership, approval, and review cadence for third-party privacy management.; Operational records (logs, tickets, reports, or dashboards) demonstrating the control is performed consistently.; Evidence of exception handling and remediation tracking when control failures or gaps are identified.

SOC2-Q-058 - third-party privacy management p5 privacy third_party contracts policy owner evidence review log ticket control

tags: privacy, third_party, contracts, soc2, readiness | hits: 0

No direct evidence hits for this query.

P5.2 - P5.2 Third-Party Privacy Management readiness

GAP | severity 3 | evidence_count 0

Demonstrate that third-party privacy management is defined, operated, and reviewable with reproducible local evidence.

Expected evidence: Written policy or procedure showing ownership, approval, and review cadence for third-party privacy management.; Operational records (logs, tickets, reports, or dashboards) demonstrating the control is performed consistently.; Evidence of exception handling and remediation tracking when control failures or gaps are identified.

SOC2-Q-058 - third-party privacy management p5 privacy third_party contracts policy owner evidence review log ticket control

tags: privacy, third_party, contracts, soc2, readiness | hits: 0

No direct evidence hits for this query.

P6.1 - P6.1 Privacy Monitoring readiness

GAP | severity 4 | evidence_count 0

Demonstrate that privacy monitoring is defined, operated, and reviewable with reproducible local evidence.

Expected evidence: Written policy or procedure showing ownership, approval, and review cadence for privacy monitoring.; Operational records (logs, tickets, reports, or dashboards) demonstrating the control is performed consistently.; Evidence of exception handling and remediation tracking when control failures or gaps are identified.

SOC2-Q-059 - privacy monitoring p6 privacy monitoring compliance policy owner evidence review log ticket control

tags: privacy, monitoring, compliance, soc2, readiness | hits: 0

No direct evidence hits for this query.

P6.2 - P6.2 Privacy Monitoring readiness

GAP | severity 3 | evidence_count 0

Demonstrate that privacy monitoring is defined, operated, and reviewable with reproducible local evidence.

Expected evidence: Written policy or procedure showing ownership, approval, and review cadence for privacy monitoring.; Operational records (logs, tickets, reports, or dashboards) demonstrating the control is performed consistently.; Evidence of exception handling and remediation tracking when control failures or gaps are identified.

SOC2-Q-059 - privacy monitoring p6 privacy monitoring compliance policy owner evidence review log ticket control

tags: privacy, monitoring, compliance, soc2, readiness | hits: 0

No direct evidence hits for this query.

P7.1 - P7.1 Privacy Incident Response readiness

GAP | severity 4 | evidence_count 0

Demonstrate that privacy incident response is defined, operated, and reviewable with reproducible local evidence.

Expected evidence: Written policy or procedure showing ownership, approval, and review cadence for privacy incident response.; Operational records (logs, tickets, reports, or dashboards) demonstrating the control is performed consistently.; Evidence of exception handling and remediation tracking when control failures or gaps are identified.

SOC2-Q-060 - privacy incident response p7 privacy incident_response breach policy owner evidence review log ticket control

tags: privacy, incident_response, breach, soc2, readiness | hits: 0

No direct evidence hits for this query.

P7.2 - P7.2 Privacy Incident Response readiness

GAP | severity 3 | evidence_count 0

Demonstrate that privacy incident response is defined, operated, and reviewable with reproducible local evidence.

Expected evidence: Written policy or procedure showing ownership, approval, and review cadence for privacy incident response.; Operational records (logs, tickets, reports, or dashboards) demonstrating the control is performed consistently.; Evidence of exception handling and remediation tracking when control failures or gaps are identified.

SOC2-Q-060 - privacy incident response p7 privacy incident_response breach policy owner evidence review log ticket control

tags: privacy, incident_response, breach, soc2, readiness | hits: 0

No direct evidence hits for this query.

P8.1 - P8.1 Retention and Disposal readiness

GAP | severity 4 | evidence_count 0

Demonstrate that retention and disposal is defined, operated, and reviewable with reproducible local evidence.

Expected evidence: Written policy or procedure showing ownership, approval, and review cadence for retention and disposal.; Operational records (logs, tickets, reports, or dashboards) demonstrating the control is performed consistently.; Evidence of exception handling and remediation tracking when control failures or gaps are identified.

SOC2-Q-061 - retention and disposal p8 privacy retention deletion policy owner evidence review log ticket control

tags: privacy, retention, deletion, soc2, readiness | hits: 0

No direct evidence hits for this query.

P8.2 - P8.2 Retention and Disposal readiness

GAP | severity 3 | evidence_count 0

Demonstrate that retention and disposal is defined, operated, and reviewable with reproducible local evidence.

Expected evidence: Written policy or procedure showing ownership, approval, and review cadence for retention and disposal.; Operational records (logs, tickets, reports, or dashboards) demonstrating the control is performed consistently.; Evidence of exception handling and remediation tracking when control failures or gaps are identified.

SOC2-Q-061 - retention and disposal p8 privacy retention deletion policy owner evidence review log ticket control

tags: privacy, retention, deletion, soc2, readiness | hits: 0

No direct evidence hits for this query.

Query Log

QUERY_ID	QUERY_TEXT	TAGS	HITS
SOC2-Q-001	control environment cc1 control_environment ethics tone policy owner evidence review log ticket control	control_environment, ethics, tone, soc2, readiness, security	0
SOC2-Q-002	control environment cc1 control_environment ethics tone policy owner evidence review log ticket control	control_environment, ethics, tone, soc2, readiness, security	0
SOC2-Q-003	control environment cc1 control_environment ethics tone policy owner evidence review log ticket control	control_environment, ethics, tone, soc2, readiness, security	0
SOC2-Q-004	control environment cc1 control_environment ethics tone policy owner evidence review log ticket control	control_environment, ethics, tone, soc2, readiness, security	0
SOC2-Q-005	communication and information cc2 communication reporting governance policy owner evidence review	communication, reporting, governance, soc2, readiness, security	0

QUERY_ID	QUERY_TEXT	TAGS	HITS
	log ticket control		
SOC2-Q-006	communication and information cc2 communication reporting governance policy owner evidence review log ticket control	communication, reporting, governance, soc2, readiness, security	0
SOC2-Q-007	communication and information cc2 communication reporting governance policy owner evidence review log ticket control	communication, reporting, governance, soc2, readiness, security	0
SOC2-Q-008	communication and information cc2 communication reporting governance policy owner evidence review log ticket control	communication, reporting, governance, soc2, readiness, security	0
SOC2-Q-009	risk assessment cc3 risk_assessment threat_modeling enterprise_risk policy owner evidence review log ticket control	risk_assessment, threat_modeling, enterprise_risk, soc2, readiness, security	0
SOC2-Q-010	risk assessment cc3 risk_assessment threat_modeling enterprise_risk policy owner evidence review log ticket control	risk_assessment, threat_modeling, enterprise_risk, soc2, readiness, security	0
SOC2-Q-011	risk assessment cc3 risk_assessment threat_modeling enterprise_risk policy owner evidence review log ticket control	risk_assessment, threat_modeling, enterprise_risk, soc2, readiness, security	0
SOC2-Q-012	risk assessment cc3 risk_assessment threat_modeling enterprise_risk policy owner evidence review log ticket control	risk_assessment, threat_modeling, enterprise_risk, soc2, readiness, security	0
SOC2-Q-013	monitoring activities cc4 monitoring metrics audit policy owner evidence review log ticket control	monitoring, metrics, audit, soc2, readiness, security	0
SOC2-Q-014	monitoring activities cc4 monitoring metrics audit policy owner evidence review log ticket control	monitoring, metrics, audit, soc2, readiness, security	0
SOC2-Q-015	monitoring activities cc4 monitoring metrics audit policy owner evidence review log ticket control	monitoring, metrics, audit, soc2, readiness, security	0
SOC2-Q-016	monitoring activities cc4 monitoring metrics audit policy owner evidence review log ticket control	monitoring, metrics, audit, soc2, readiness, security	0
SOC2-Q-017	control activities cc5 control_activities review segregation policy owner evidence review log ticket control	control_activities, review, segregation, soc2, readiness, security	0
SOC2-Q-018	control activities cc5 control_activities review segregation policy owner evidence review log ticket control	control_activities, review, segregation, soc2, readiness, security	0
SOC2-Q-019	control activities cc5 control_activities review segregation policy owner evidence review log ticket control	control_activities, review, segregation, soc2, readiness, security	0
SOC2-Q-020	control activities cc5 control_activities review segregation policy owner evidence review log ticket control	control_activities, review, segregation, soc2, readiness, security	0



QUERY_ID	QUERY_TEXT	TAGS	HITS
S0C2-Q-021	logical and physical access cc6 logical_access identity mfa policy owner evidence review log ticket control	logical_access, identity, mfa, soc2, readiness, security	0
S0C2-Q-022	logical and physical access cc6 logical_access identity mfa policy owner evidence review log ticket control	logical_access, identity, mfa, soc2, readiness, security	0
S0C2-Q-023	logical and physical access cc6 logical_access identity mfa policy owner evidence review log ticket control	logical_access, identity, mfa, soc2, readiness, security	0
S0C2-Q-024	logical and physical access cc6 logical_access identity mfa policy owner evidence review log ticket control	logical_access, identity, mfa, soc2, readiness, security	0
S0C2-Q-025	system operations cc7 system_operations logging alerting policy owner evidence review log ticket control	system_operations, logging, alerting, soc2, readiness, security	0
S0C2-Q-026	system operations cc7 system_operations logging alerting policy owner evidence review log ticket control	system_operations, logging, alerting, soc2, readiness, security	0
S0C2-Q-027	system operations cc7 system_operations logging alerting policy owner evidence review log ticket control	system_operations, logging, alerting, soc2, readiness, security	0
S0C2-Q-028	system operations cc7 system_operations logging alerting policy owner evidence review log ticket control	system_operations, logging, alerting, soc2, readiness, security	0
S0C2-Q-029	change management cc8 change_management sdlc release policy owner evidence review log ticket control	change_management, sdlc, release, soc2, readiness, security	0
S0C2-Q-030	change management cc8 change_management sdlc release policy owner evidence review log ticket control	change_management, sdlc, release, soc2, readiness, security	0
S0C2-Q-031	change management cc8 change_management sdlc release policy owner evidence review log ticket control	change_management, sdlc, release, soc2, readiness, security	0
S0C2-Q-032	change management cc8 change_management sdlc release policy owner evidence review log ticket control	change_management, sdlc, release, soc2, readiness, security	0
S0C2-Q-033	risk mitigation cc9 risk_mitigation incident_response resilience policy owner evidence review log ticket control	risk_mitigation, incident_response, resilience, soc2, readiness, security	0
S0C2-Q-034	risk mitigation cc9 risk_mitigation incident_response resilience policy owner evidence review log ticket control	risk_mitigation, incident_response, resilience, soc2, readiness, security	0
S0C2-Q-035	risk mitigation cc9 risk_mitigation incident_response resilience policy owner evidence review log ticket control	risk_mitigation, incident_response, resilience, soc2, readiness, security	0



QUERY_ID	QUERY_TEXT	TAGS	HITS
SOC2-Q-036	risk mitigation cc9 risk_mitigation incident_response resilience policy owner evidence review log ticket control	risk_mitigation, incident_response, resilience, soc2, readiness, security	0
SOC2-Q-037	confidentiality commitments c1 confidentiality data_classification encryption policy owner evidence review log ticket control	confidentiality, data_classification, encryption, soc2, readiness	0
SOC2-Q-038	confidentiality commitments c1 confidentiality data_classification encryption policy owner evidence review log ticket control	confidentiality, data_classification, encryption, soc2, readiness	0
SOC2-Q-039	confidentiality commitments c1 confidentiality data_classification encryption policy owner evidence review log ticket control	confidentiality, data_classification, encryption, soc2, readiness	0
SOC2-Q-040	confidential data lifecycle c2 confidentiality data_handling retention policy owner evidence review log ticket control	confidentiality, data_handling, retention, soc2, readiness	0
SOC2-Q-041	confidential data lifecycle c2 confidentiality data_handling retention policy owner evidence review log ticket control	confidentiality, data_handling, retention, soc2, readiness	0
SOC2-Q-042	confidential data lifecycle c2 confidentiality data_handling retention policy owner evidence review log ticket control	confidentiality, data_handling, retention, soc2, readiness	0
SOC2-Q-043	availability planning a1 availability capacity sla policy owner evidence review log ticket control	availability, capacity, sla, soc2, readiness	0
SOC2-Q-044	availability planning a1 availability capacity sla policy owner evidence review log ticket control	availability, capacity, sla, soc2, readiness	0
SOC2-Q-045	backup and recovery a2 availability backup restore policy owner evidence review log ticket control	availability, backup, restore, soc2, readiness	0
SOC2-Q-046	backup and recovery a2 availability backup restore policy owner evidence review log ticket control	availability, backup, restore, soc2, readiness	0
SOC2-Q-047	resilience testing a3 availability dr_testing continuity policy owner evidence review log ticket control	availability, dr_testing, continuity, soc2, readiness	0
SOC2-Q-048	resilience testing a3 availability dr_testing continuity policy owner evidence review log ticket control	availability, dr_testing, continuity, soc2, readiness	0
SOC2-Q-049	input integrity pi1 processing_integrity input_validation completeness policy owner evidence review log ticket control	processing_integrity, input_validation, completeness, soc2, readiness, processingintegrity	0
SOC2-Q-050	processing accuracy pi2 processing_integrity accuracy reconciliation policy owner evidence review log ticket control	processing_integrity, accuracy, reconciliation, soc2, readiness, processingintegrity	0
SOC2-Q-051	exception handling pi3 processing_integrity exceptions workflow policy owner evidence review log ticket control	processing_integrity, exceptions, workflow, soc2, readiness, processingintegrity	0

QUERY_ID	QUERY_TEXT	TAGS	HITS
S0C2-Q-052	job and batch control pi4 processing_integrity job_control batch policy owner evidence review log ticket control	processing_integrity, job_control, batch, soc2, readiness, processingintegrity	0
S0C2-Q-053	output review pi5 processing_integrity output_review traceability policy owner evidence review log ticket control	processing_integrity, output_review, traceability, soc2, readiness, processingintegrity	0
S0C2-Q-054	notice and transparency p1 privacy notice consent policy owner evidence review log ticket control	privacy, notice, consent, soc2, readiness	0
S0C2-Q-055	collection and use limitation p2 privacy collection purpose_limitation policy owner evidence review log ticket control	privacy, collection, purpose_limitation, soc2, readiness	0
S0C2-Q-056	data subject rights p3 privacy data_subject_rights request_handling policy owner evidence review log ticket control	privacy, data_subject_rights, request_handling, soc2, readiness	0
S0C2-Q-057	privacy safeguards p4 privacy safeguards confidentiality policy owner evidence review log ticket control	privacy, safeguards, confidentiality, soc2, readiness	0
S0C2-Q-058	third-party privacy management p5 privacy third_party contracts policy owner evidence review log ticket control	privacy, third_party, contracts, soc2, readiness	0
S0C2-Q-059	privacy monitoring p6 privacy monitoring compliance policy owner evidence review log ticket control	privacy, monitoring, compliance, soc2, readiness	0
S0C2-Q-060	privacy incident response p7 privacy incident_response breach policy owner evidence review log ticket control	privacy, incident_response, breach, soc2, readiness	0
S0C2-Q-061	retention and disposal p8 privacy retention deletion policy owner evidence review log ticket control	privacy, retention, deletion, soc2, readiness	0

Query Log

QUERY_ID	QUERY_TEXT	TAGS	HITS
S0C2-Q-001	control environment cc1 control_environment ethics tone policy owner evidence review log ticket control	control_environment, ethics, tone, soc2, readiness, security	0
S0C2-Q-002	control environment cc1 control_environment ethics tone policy owner evidence review log ticket control	control_environment, ethics, tone, soc2, readiness, security	0
S0C2-Q-003	control environment cc1 control_environment ethics tone policy owner evidence review log ticket control	control_environment, ethics, tone, soc2, readiness, security	0
S0C2-Q-004	control environment cc1 control_environment ethics tone policy owner evidence review log ticket control	control_environment, ethics, tone, soc2, readiness, security	0



QUERY_ID	QUERY_TEXT	TAGS	HITS
S0C2-Q-005	communication and information cc2 communication reporting governance policy owner evidence review log ticket control	communication, reporting, governance, soc2, readiness, security	0
S0C2-Q-006	communication and information cc2 communication reporting governance policy owner evidence review log ticket control	communication, reporting, governance, soc2, readiness, security	0
S0C2-Q-007	communication and information cc2 communication reporting governance policy owner evidence review log ticket control	communication, reporting, governance, soc2, readiness, security	0
S0C2-Q-008	communication and information cc2 communication reporting governance policy owner evidence review log ticket control	communication, reporting, governance, soc2, readiness, security	0
S0C2-Q-009	risk assessment cc3 risk_assessment threat_modeling enterprise_risk policy owner evidence review log ticket control	risk_assessment, threat_modeling, enterprise_risk, soc2, readiness, security	0
S0C2-Q-010	risk assessment cc3 risk_assessment threat_modeling enterprise_risk policy owner evidence review log ticket control	risk_assessment, threat_modeling, enterprise_risk, soc2, readiness, security	0
S0C2-Q-011	risk assessment cc3 risk_assessment threat_modeling enterprise_risk policy owner evidence review log ticket control	risk_assessment, threat_modeling, enterprise_risk, soc2, readiness, security	0
S0C2-Q-012	risk assessment cc3 risk_assessment threat_modeling enterprise_risk policy owner evidence review log ticket control	risk_assessment, threat_modeling, enterprise_risk, soc2, readiness, security	0
S0C2-Q-013	monitoring activities cc4 monitoring metrics audit policy owner evidence review log ticket control	monitoring, metrics, audit, soc2, readiness, security	0
S0C2-Q-014	monitoring activities cc4 monitoring metrics audit policy owner evidence review log ticket control	monitoring, metrics, audit, soc2, readiness, security	0
S0C2-Q-015	monitoring activities cc4 monitoring metrics audit policy owner evidence review log ticket control	monitoring, metrics, audit, soc2, readiness, security	0
S0C2-Q-016	monitoring activities cc4 monitoring metrics audit policy owner evidence review log ticket control	monitoring, metrics, audit, soc2, readiness, security	0
S0C2-Q-017	control activities cc5 control_activities review segregation policy owner evidence review log ticket control	control_activities, review, segregation, soc2, readiness, security	0
S0C2-Q-018	control activities cc5 control_activities review segregation policy owner evidence review log ticket control	control_activities, review, segregation, soc2, readiness, security	0
S0C2-Q-019	control activities cc5 control_activities review segregation policy owner evidence review log ticket control	control_activities, review, segregation, soc2, readiness, security	0



QUERY_ID	QUERY_TEXT	TAGS	HITS
SOC2-Q-020	control activities cc5 control_activities review segregation policy owner evidence review log ticket control	control_activities, review, segregation, soc2, readiness, security	0
SOC2-Q-021	logical and physical access cc6 logical_access identity mfa policy owner evidence review log ticket control	logical_access, identity, mfa, soc2, readiness, security	0
SOC2-Q-022	logical and physical access cc6 logical_access identity mfa policy owner evidence review log ticket control	logical_access, identity, mfa, soc2, readiness, security	0
SOC2-Q-023	logical and physical access cc6 logical_access identity mfa policy owner evidence review log ticket control	logical_access, identity, mfa, soc2, readiness, security	0
SOC2-Q-024	logical and physical access cc6 logical_access identity mfa policy owner evidence review log ticket control	logical_access, identity, mfa, soc2, readiness, security	0
SOC2-Q-025	system operations cc7 system_operations logging alerting policy owner evidence review log ticket control	system_operations, logging, alerting, soc2, readiness, security	0
SOC2-Q-026	system operations cc7 system_operations logging alerting policy owner evidence review log ticket control	system_operations, logging, alerting, soc2, readiness, security	0
SOC2-Q-027	system operations cc7 system_operations logging alerting policy owner evidence review log ticket control	system_operations, logging, alerting, soc2, readiness, security	0
SOC2-Q-028	system operations cc7 system_operations logging alerting policy owner evidence review log ticket control	system_operations, logging, alerting, soc2, readiness, security	0
SOC2-Q-029	change management cc8 change_management sdlc release policy owner evidence review log ticket control	change_management, sdlc, release, soc2, readiness, security	0
SOC2-Q-030	change management cc8 change_management sdlc release policy owner evidence review log ticket control	change_management, sdlc, release, soc2, readiness, security	0
SOC2-Q-031	change management cc8 change_management sdlc release policy owner evidence review log ticket control	change_management, sdlc, release, soc2, readiness, security	0
SOC2-Q-032	change management cc8 change_management sdlc release policy owner evidence review log ticket control	change_management, sdlc, release, soc2, readiness, security	0
SOC2-Q-033	risk mitigation cc9 risk_mitigation incident_response resilience policy owner evidence review log ticket control	risk_mitigation, incident_response, resilience, soc2, readiness, security	0
SOC2-Q-034	risk mitigation cc9 risk_mitigation incident_response resilience policy owner evidence review log ticket control	risk_mitigation, incident_response, resilience, soc2, readiness, security	0

QUERY_ID	QUERY_TEXT	TAGS	HITS
S0C2-Q-035	risk mitigation cc9 risk_mitigation incident_response resilience policy owner evidence review log ticket control	risk_mitigation, incident_response, resilience, soc2, readiness, security	0
S0C2-Q-036	risk mitigation cc9 risk_mitigation incident_response resilience policy owner evidence review log ticket control	risk_mitigation, incident_response, resilience, soc2, readiness, security	0
S0C2-Q-037	confidentiality commitments c1 confidentiality data_classification encryption policy owner evidence review log ticket control	confidentiality, data_classification, encryption, soc2, readiness	0
S0C2-Q-038	confidentiality commitments c1 confidentiality data_classification encryption policy owner evidence review log ticket control	confidentiality, data_classification, encryption, soc2, readiness	0
S0C2-Q-039	confidentiality commitments c1 confidentiality data_classification encryption policy owner evidence review log ticket control	confidentiality, data_classification, encryption, soc2, readiness	0
S0C2-Q-040	confidential data lifecycle c2 confidentiality data_handling retention policy owner evidence review log ticket control	confidentiality, data_handling, retention, soc2, readiness	0
S0C2-Q-041	confidential data lifecycle c2 confidentiality data_handling retention policy owner evidence review log ticket control	confidentiality, data_handling, retention, soc2, readiness	0
S0C2-Q-042	confidential data lifecycle c2 confidentiality data_handling retention policy owner evidence review log ticket control	confidentiality, data_handling, retention, soc2, readiness	0
S0C2-Q-043	availability planning a1 availability capacity sla policy owner evidence review log ticket control	availability, capacity, sla, soc2, readiness	0
S0C2-Q-044	availability planning a1 availability capacity sla policy owner evidence review log ticket control	availability, capacity, sla, soc2, readiness	0
S0C2-Q-045	backup and recovery a2 availability backup restore policy owner evidence review log ticket control	availability, backup, restore, soc2, readiness	0
S0C2-Q-046	backup and recovery a2 availability backup restore policy owner evidence review log ticket control	availability, backup, restore, soc2, readiness	0
S0C2-Q-047	resilience testing a3 availability dr_testing continuity policy owner evidence review log ticket control	availability, dr_testing, continuity, soc2, readiness	0
S0C2-Q-048	resilience testing a3 availability dr_testing continuity policy owner evidence review log ticket control	availability, dr_testing, continuity, soc2, readiness	0
S0C2-Q-049	input integrity pi1 processing_integrity input_validation completeness policy owner evidence review log ticket control	processing_integrity, input_validation, completeness, soc2, readiness, processingintegrity	0
S0C2-Q-050	processing accuracy pi2 processing_integrity accuracy reconciliation policy owner evidence review log ticket control	processing_integrity, accuracy, reconciliation, soc2, readiness, processingintegrity	0



QUERY_ID	QUERY_TEXT	TAGS	HITS
S0C2-Q-051	exception handling pi3 processing_integrity exceptions workflow policy owner evidence review log ticket control	processing_integrity, exceptions, workflow, soc2, readiness, processingintegrity	0
S0C2-Q-052	job and batch control pi4 processing_integrity job_control batch policy owner evidence review log ticket control	processing_integrity, job_control, batch, soc2, readiness, processingintegrity	0
S0C2-Q-053	output review pi5 processing_integrity output_review traceability policy owner evidence review log ticket control	processing_integrity, output_review, traceability, soc2, readiness, processingintegrity	0
S0C2-Q-054	notice and transparency p1 privacy notice consent policy owner evidence review log ticket control	privacy, notice, consent, soc2, readiness	0
S0C2-Q-055	collection and use limitation p2 privacy collection purpose_limitation policy owner evidence review log ticket control	privacy, collection, purpose_limitation, soc2, readiness	0
S0C2-Q-056	data subject rights p3 privacy data_subject_rights request_handling policy owner evidence review log ticket control	privacy, data_subject_rights, request_handling, soc2, readiness	0
S0C2-Q-057	privacy safeguards p4 privacy safeguards confidentiality policy owner evidence review log ticket control	privacy, safeguards, confidentiality, soc2, readiness	0
S0C2-Q-058	third-party privacy management p5 privacy third_party contracts policy owner evidence review log ticket control	privacy, third_party, contracts, soc2, readiness	0
S0C2-Q-059	privacy monitoring p6 privacy monitoring compliance policy owner evidence review log ticket control	privacy, monitoring, compliance, soc2, readiness	0
S0C2-Q-060	privacy incident response p7 privacy incident_response breach policy owner evidence review log ticket control	privacy, incident_response, breach, soc2, readiness	0
S0C2-Q-061	retention and disposal p8 privacy retention deletion policy owner evidence review log ticket control	privacy, retention, deletion, soc2, readiness	0